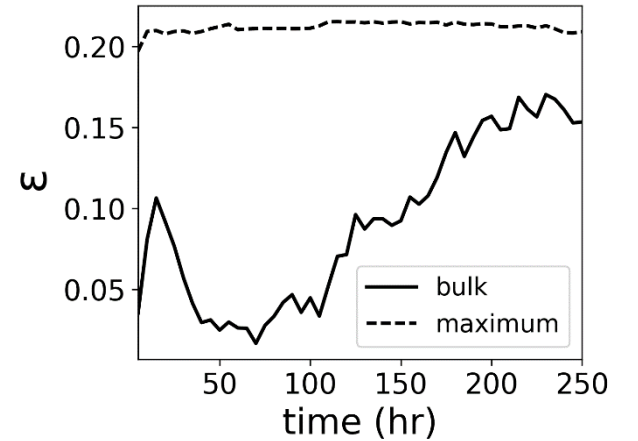
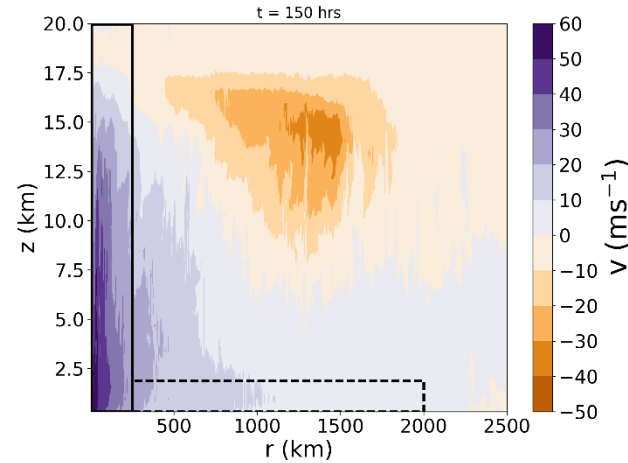
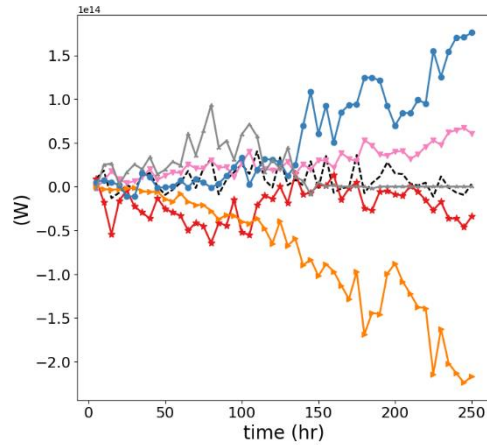
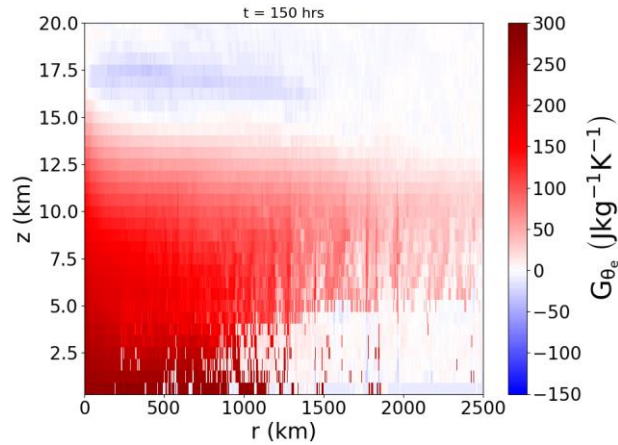


# AN AVAILABLE POTENTIAL ENERGY BUDGET FOR AN AXISYMMETRIC TROPICAL CYCLONE



Bethan Harris

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# OVERVIEW

- What is Available Potential Energy (APE) density?
- What are the advantages of using APE theory to study TCs?
- APE budget for a simple axisymmetric model (*Rotunno & Emanuel, 1987*)
- Future development

# APE DENSITY

- Energy available for reversible conversion to kinetic energy; local form derived by *Andrews (1981), Holliday and McIntyre (1981)*, generalised by *Tailleux (2018)*
- APE density  $e_a$  defined as:

$$e_a = \int_z^{z_r} g \frac{\alpha(\theta_e, r_t, p_r(z')) - \alpha_r(z')}{\alpha_r(z')} dz'$$

- Measured relative to a hydrostatic **reference state**
- Reference height  $z_r$  defined as the nearest Level of Neutral Buoyancy

# WHY USE APE?

- Different climate models at similar horizontal resolutions can produce very different distributions of TC intensity (*Shaevitz et al. 2014*) – not well understood how model differences lead to differences in TCs
- Representation of moisture, convection and the coupling between them identified as important factors (*Kim et al. 2018*)
- APE budget offers opportunity to link moist processes and convection to intensification

# WHY USE APE?

- Previous energetic studies of TCs have employed budgets of latent energy ( $LE = Lq_v$ ) and total potential energy ( $TPE = c_v T + gz$ ) (e.g. *Hack & Schubert 1986*, *Hogsett & Zhang 2009*)
- Most of the TPE is never converted into kinetic energy: APE tells us more about energy available for intensification/maintenance of TC

# APE EVOLUTION

- APE density evolves as:

$$\frac{\partial (\bar{\rho} e_a)}{\partial t} = \bar{\rho} G_{\theta_e} \frac{D\theta_e}{Dt} + \bar{\rho} G_{r_t} \frac{Dr_t}{Dt} - \bar{\rho} b w + \dots$$

- $\theta_e$  and  $r_t$  are approximately conserved variables in model, but other pairs of variables can be equivalently used

# APE EVOLUTION

$$\frac{\partial (\bar{\rho} e_a)}{\partial t} = \bar{\rho} G_{\theta_e} \frac{D\theta_e}{Dt} + \bar{\rho} G_{r_t} \frac{Dr_t}{Dt} - \bar{\rho} b w + \dots$$

↑  
generation/dissipation  
by diabatic processes

- surface fluxes

# APE EVOLUTION

$$\frac{\partial (\bar{\rho} e_a)}{\partial t} = \bar{\rho} G_{\theta_e} \frac{D\theta_e}{Dt} + \bar{\rho} G_{r_t} \frac{Dr_t}{Dt} - \bar{\rho} b w + \dots$$

generation/dissipation  
by diabatic processes

- surface fluxes
- mixing
- precipitation



# APE EVOLUTION

$$\frac{\partial (\bar{\rho} e_a)}{\partial t} = \bar{\rho} G_{\theta_e} \frac{D\theta_e}{Dt} + \bar{\rho} G_{r_t} \frac{Dr_t}{Dt} - \bar{\rho} b w + \dots$$

thermodynamic  
efficiencies

- functions of temperature & humidity  
in situ and at reference height

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thermodynamic  
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$$G_{\theta_e} = c_p \frac{T_0 - T_r}{\theta_e}$$

$$G_{r_t} = \frac{1}{(1 + r_t)^2} \left[ \mu_0 - \mu_r - (T_0 - T_r) \frac{\partial \mu}{\partial T} \right]$$

# APE EVOLUTION

$$\frac{\partial (\bar{\rho} e_a)}{\partial t} = \bar{\rho} G_{\theta_e} \frac{D\theta_e}{Dt} + \bar{\rho} G_{r_t} \frac{Dr_t}{Dt} - \bar{\rho} b w + \dots$$

thermodynamic  
efficiencies

- functions of temperature & humidity in situ and at reference height
- A process may be either a source or a sink of APE depending on sign of efficiency

# APE EVOLUTION

$$\frac{\partial (\bar{\rho} e_a)}{\partial t} = \bar{\rho} G_{\theta_e} \frac{D\theta_e}{Dt} + \bar{\rho} G_{r_t} \frac{Dr_t}{Dt} - \bar{\rho} b w + \dots$$

↑  
generation/dissipation  
by diabatic processes

# APE EVOLUTION

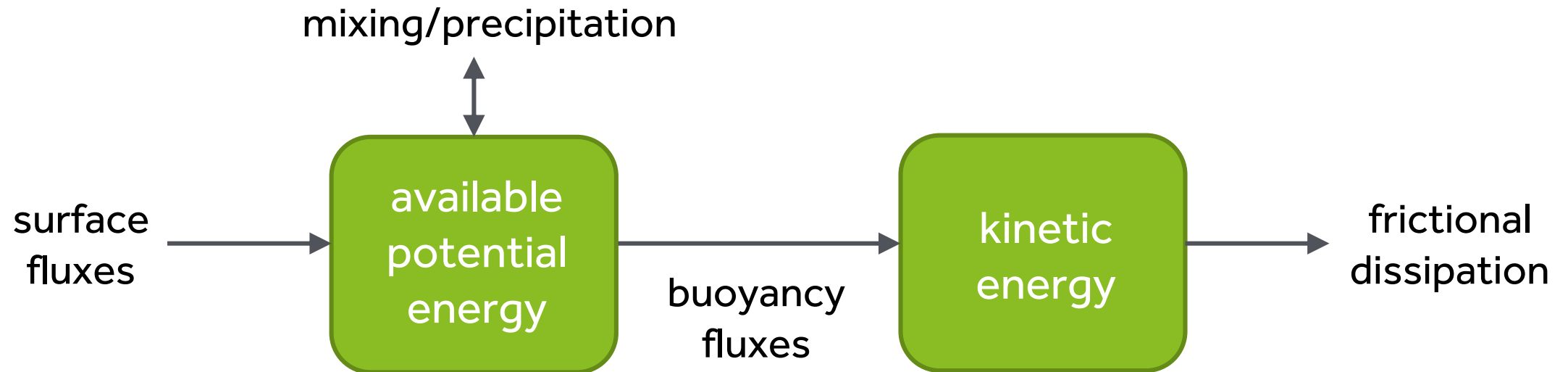
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exchange with  
vertical kinetic energy

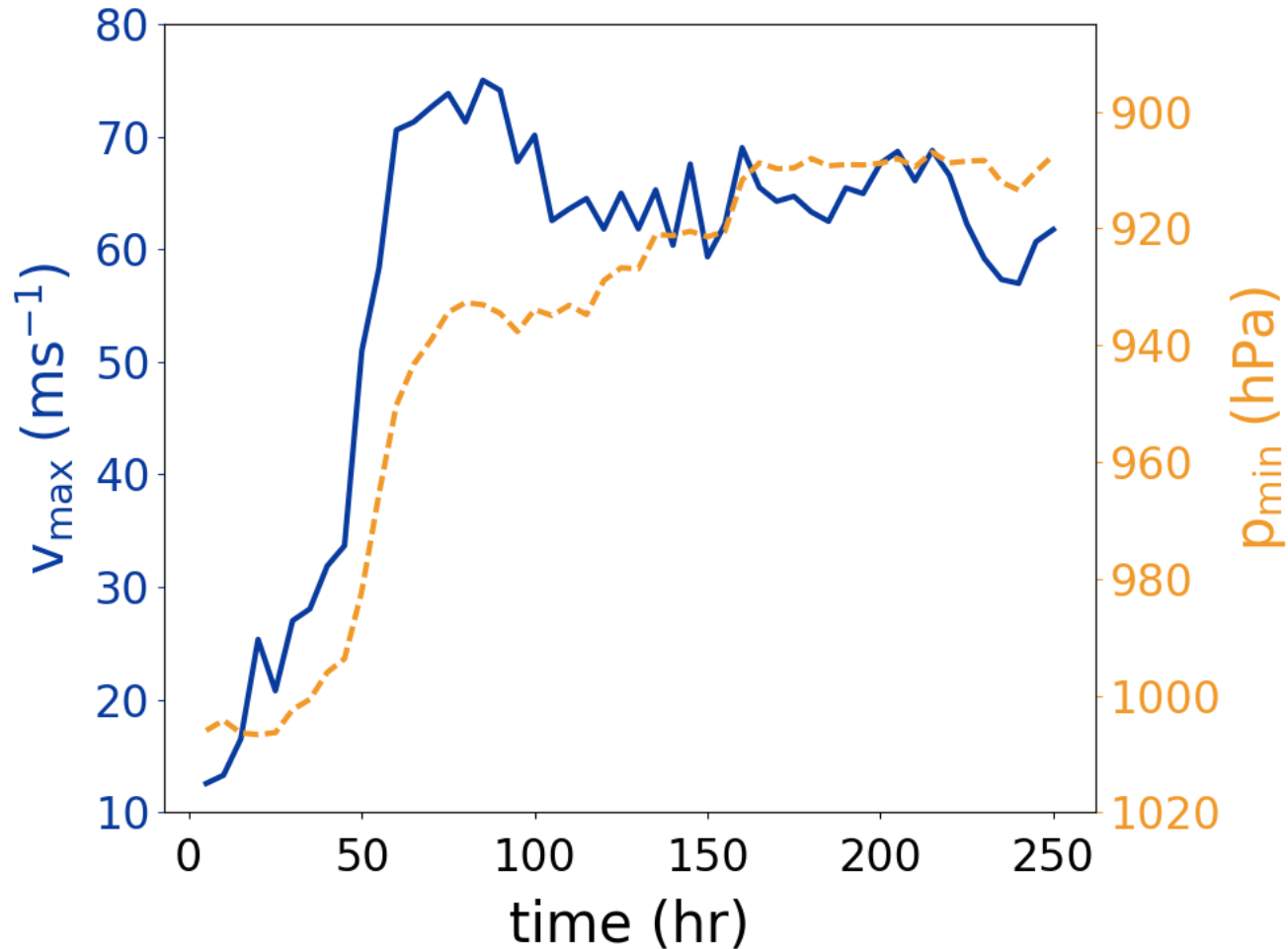
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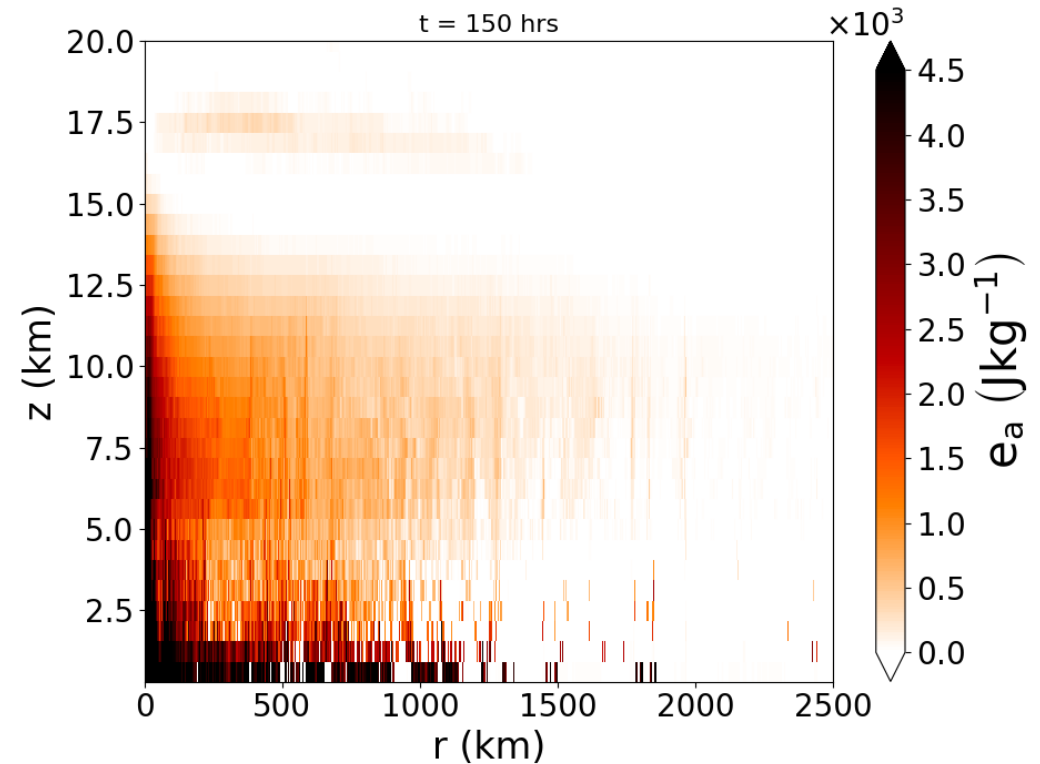
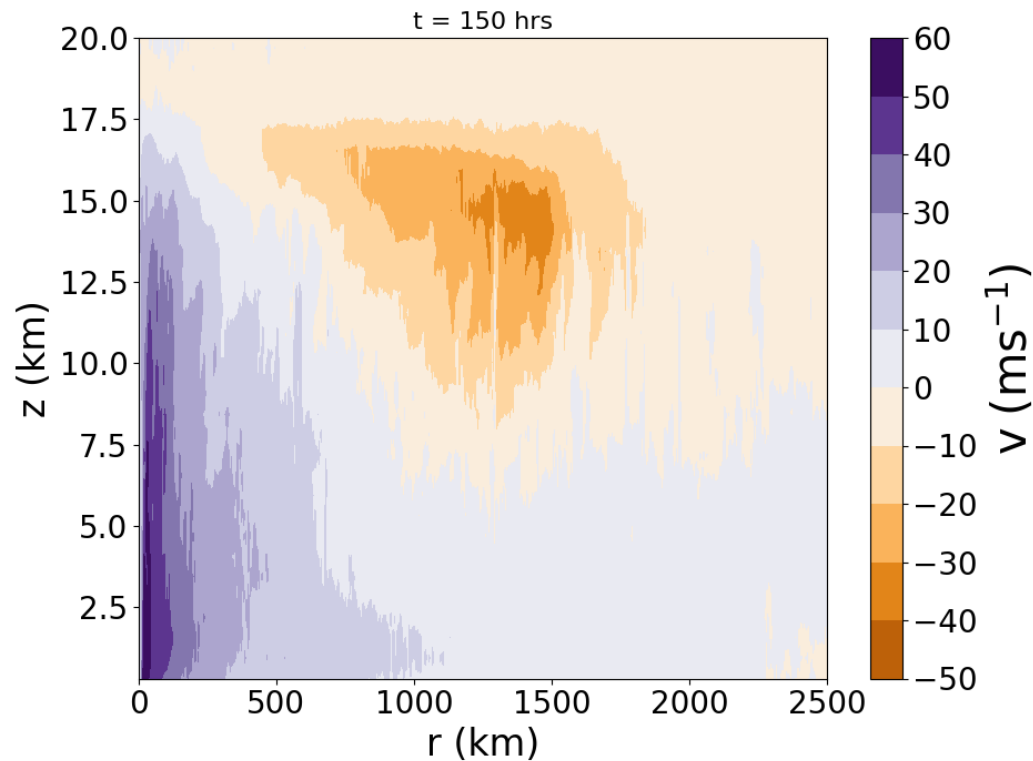
# AXISYMMETRIC MODEL



- *Rotunno & Emanuel (1987)*
- Microphysics modified by *Craig (1995, 1996)* – include ice in  $\theta_e$
- 2.5 x 0.625 km resolution
- Constant SST
- Prescribe initial vortex
- Model initialised with Jordan tropical mean sounding – use as reference state

# APE DENSITY

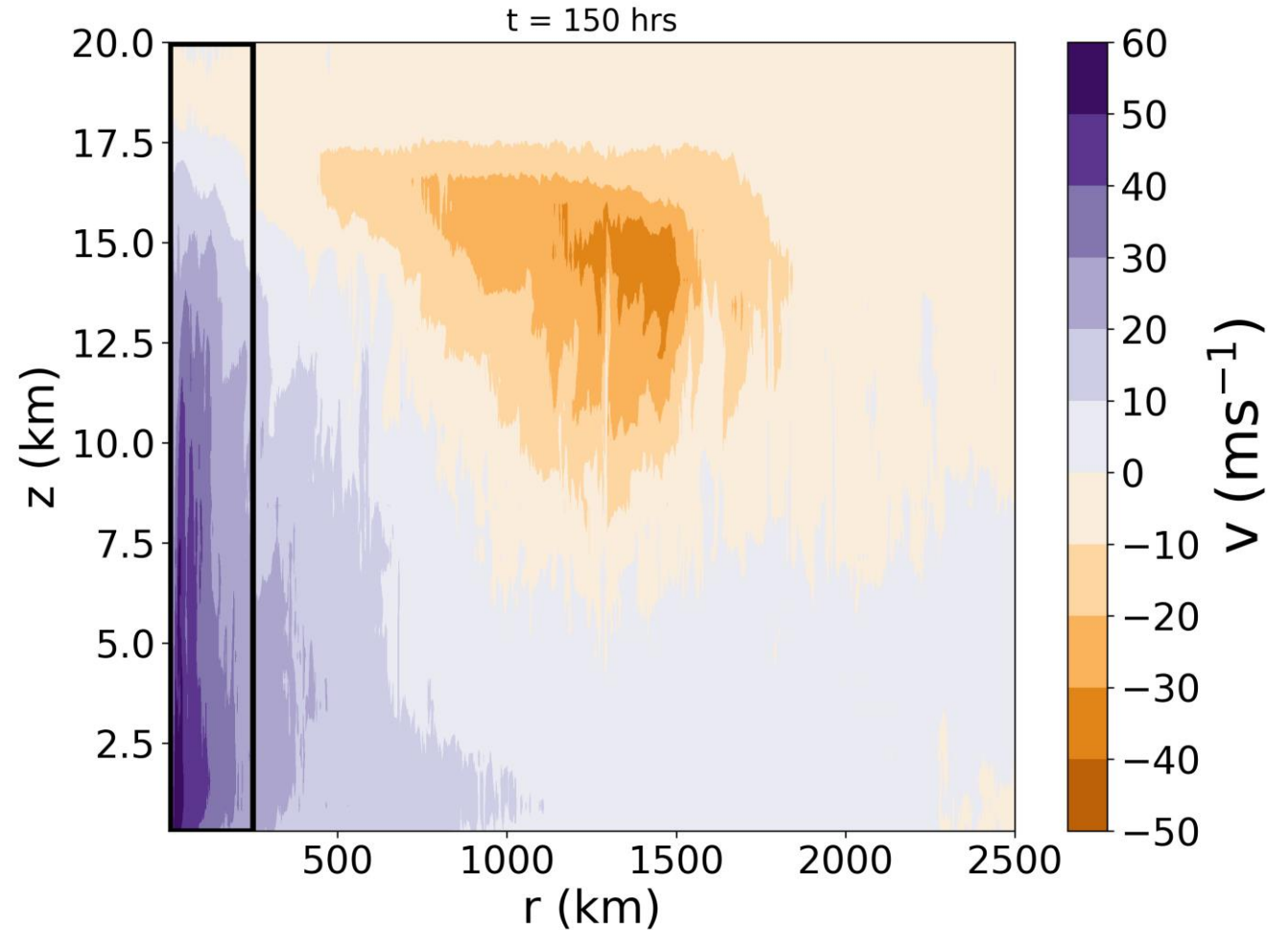
- Storage of APE in cyclone due to warm core
- High APE in eyewall due to warming by latent heat release
- High APE near surface due to heating by surface fluxes





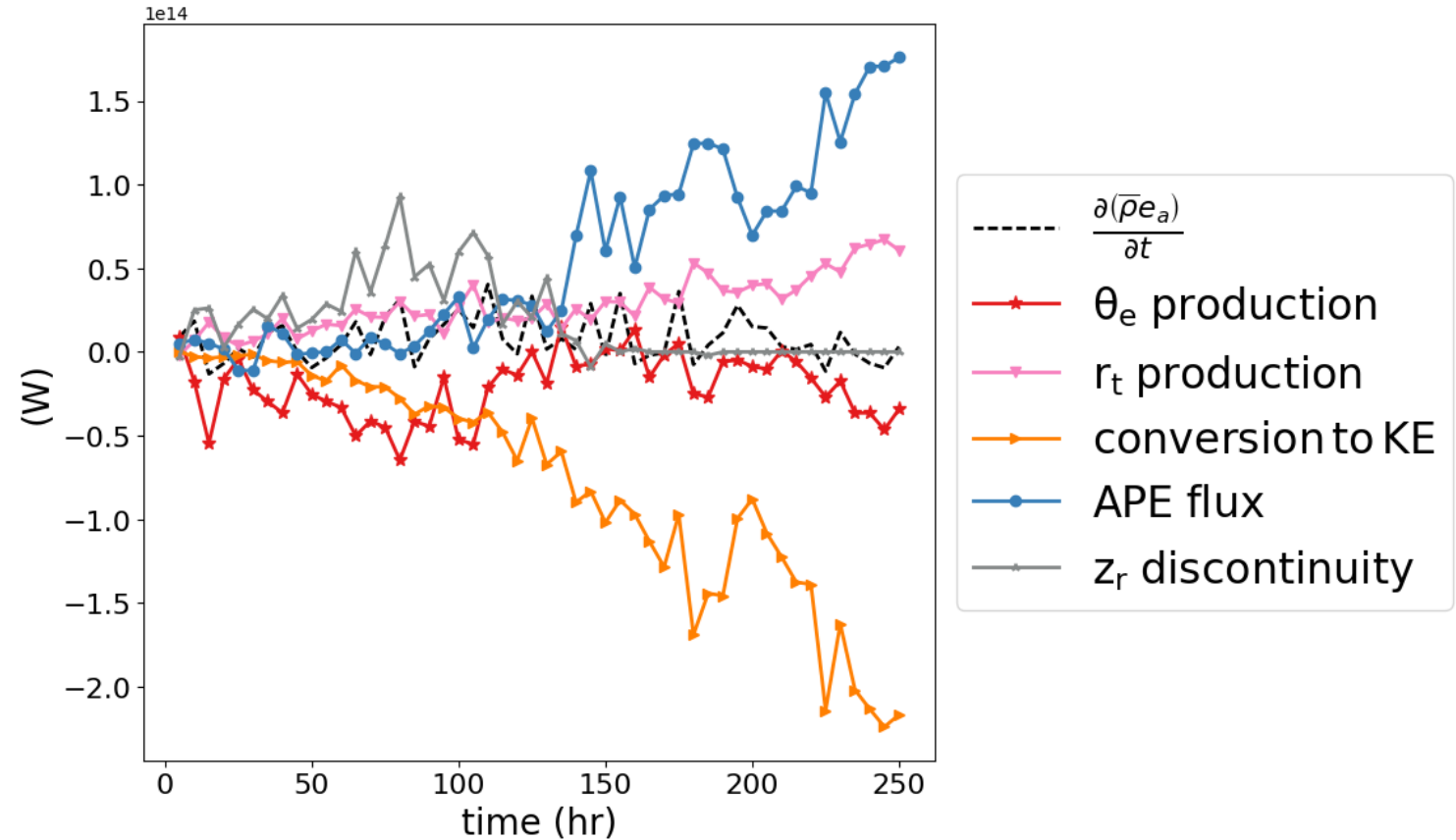
# APE BUDGET: INNER REGION

- Integrate APE budget over all grid points with  $r < 250$  km (solid box)
- What are the sources/sinks of APE in this region?



# APE BUDGET: INNER REGION

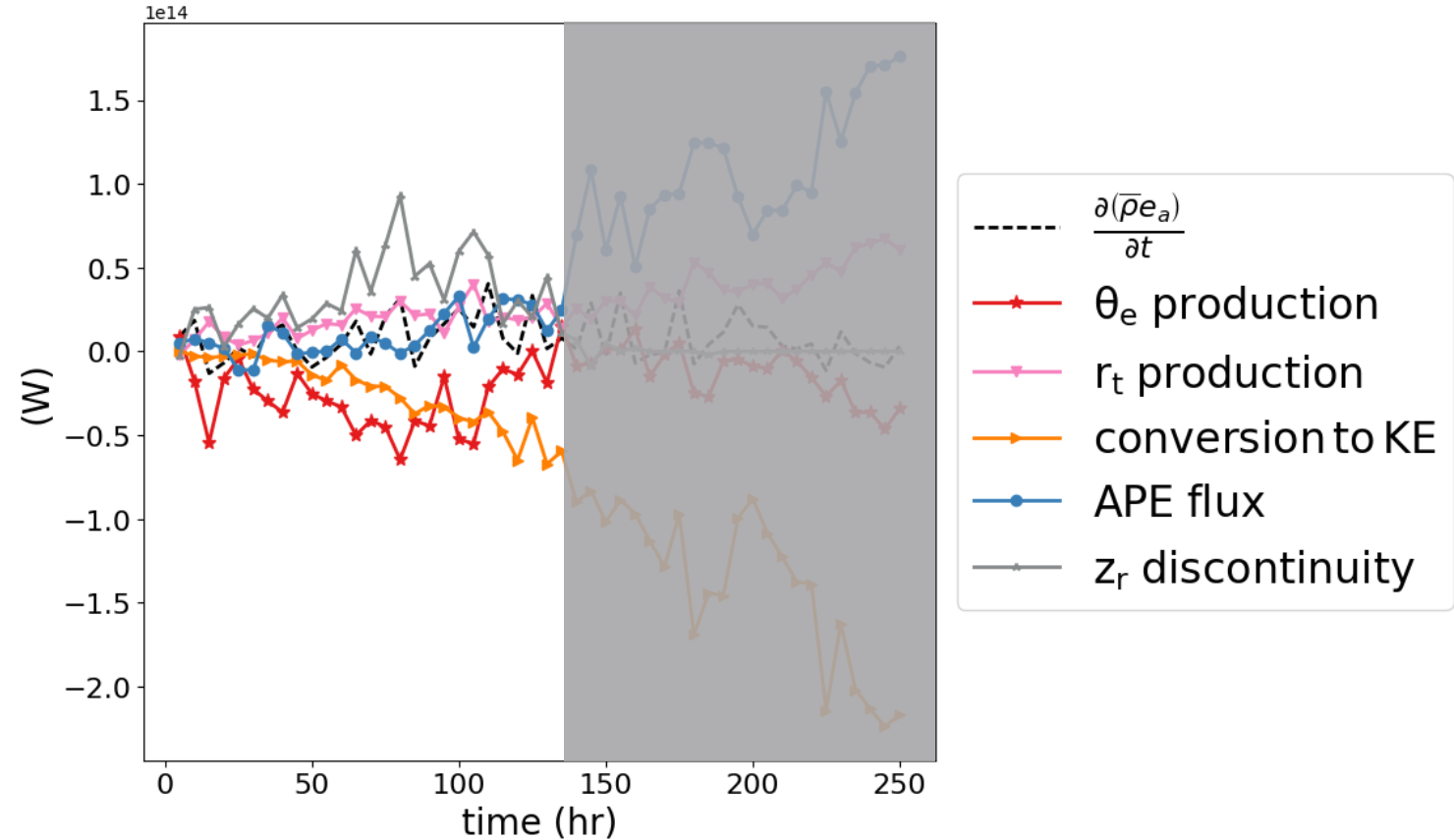
- Very different budget features in intensifying vs mature stages



# APE BUDGET: INNER REGION

## Intensification stage

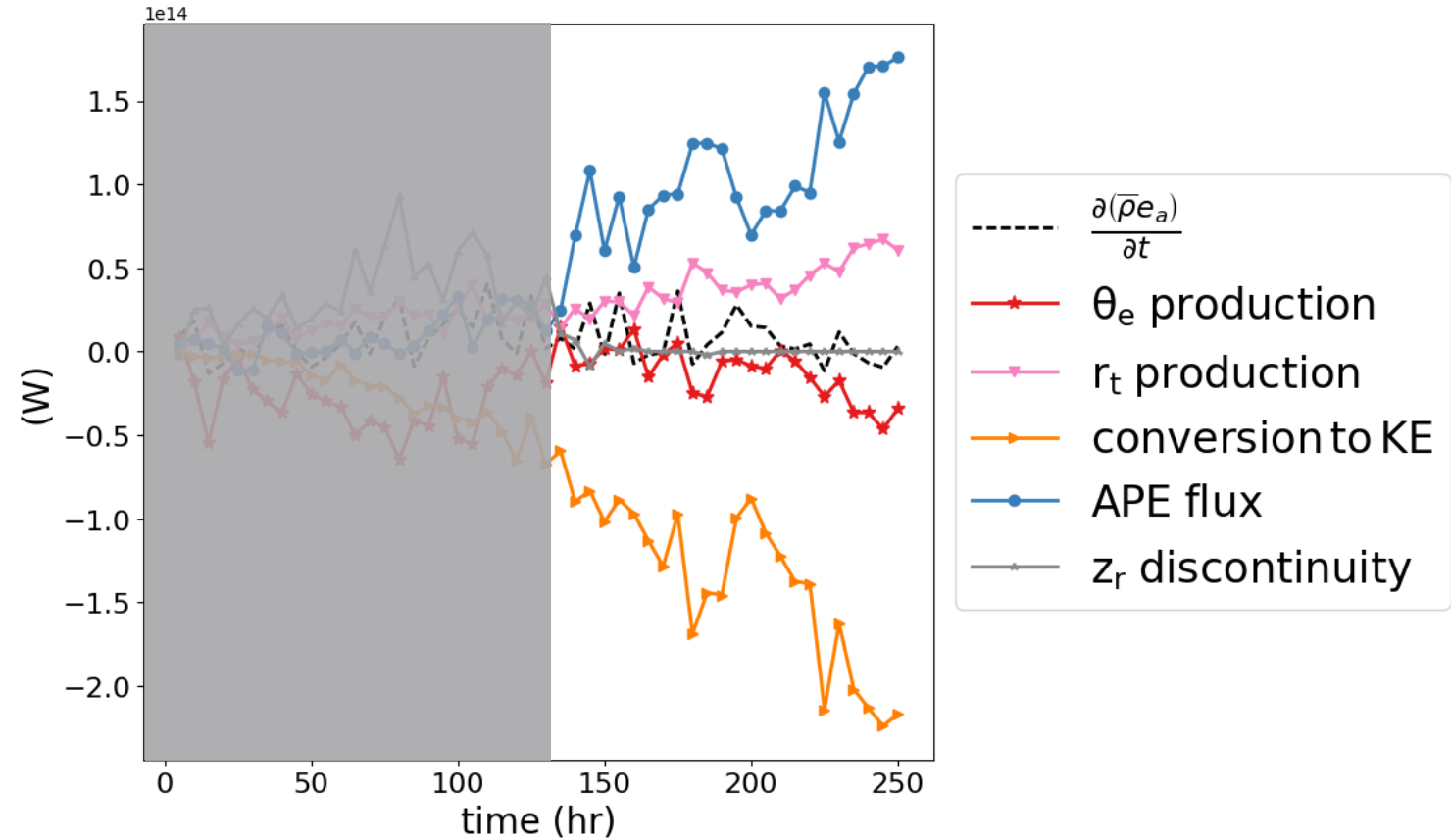
- Large contribution due to discontinuous jumps in reference heights
- Occurs when some parcels are buoyant and others aren't
- Partitioning between APE and background potential energy changes
- Previously unrecognised behaviour of APE density



# APE BUDGET: INNER REGION

## Mature stage

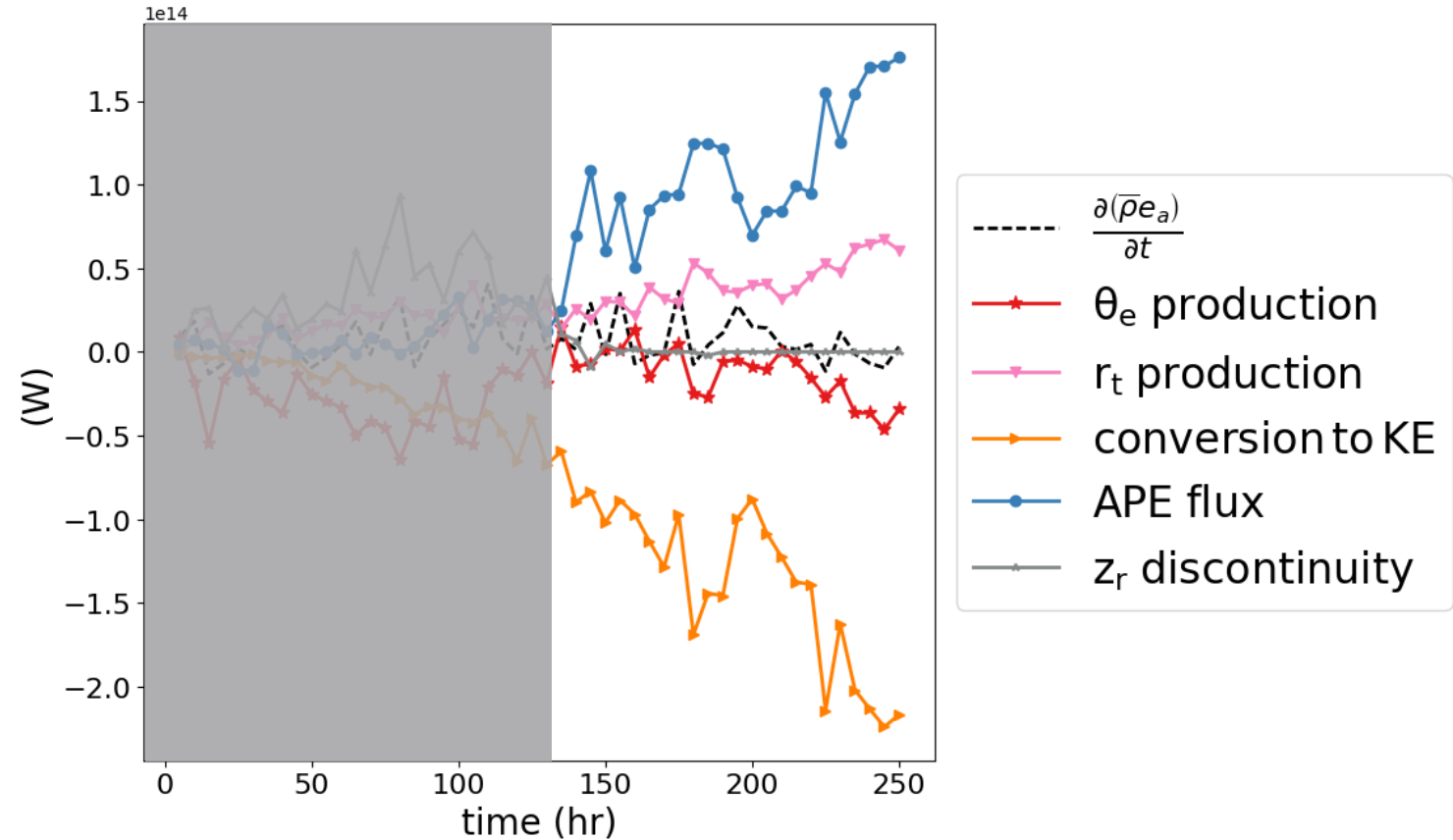
- Source of APE is flux entering region via radial inflow at low levels
- Agrees with previous latent energy budgets (*Kurihara 1975*)
- Import of APE balanced by conversion to KE
- Two production terms balance due to opposing effects of rainfall



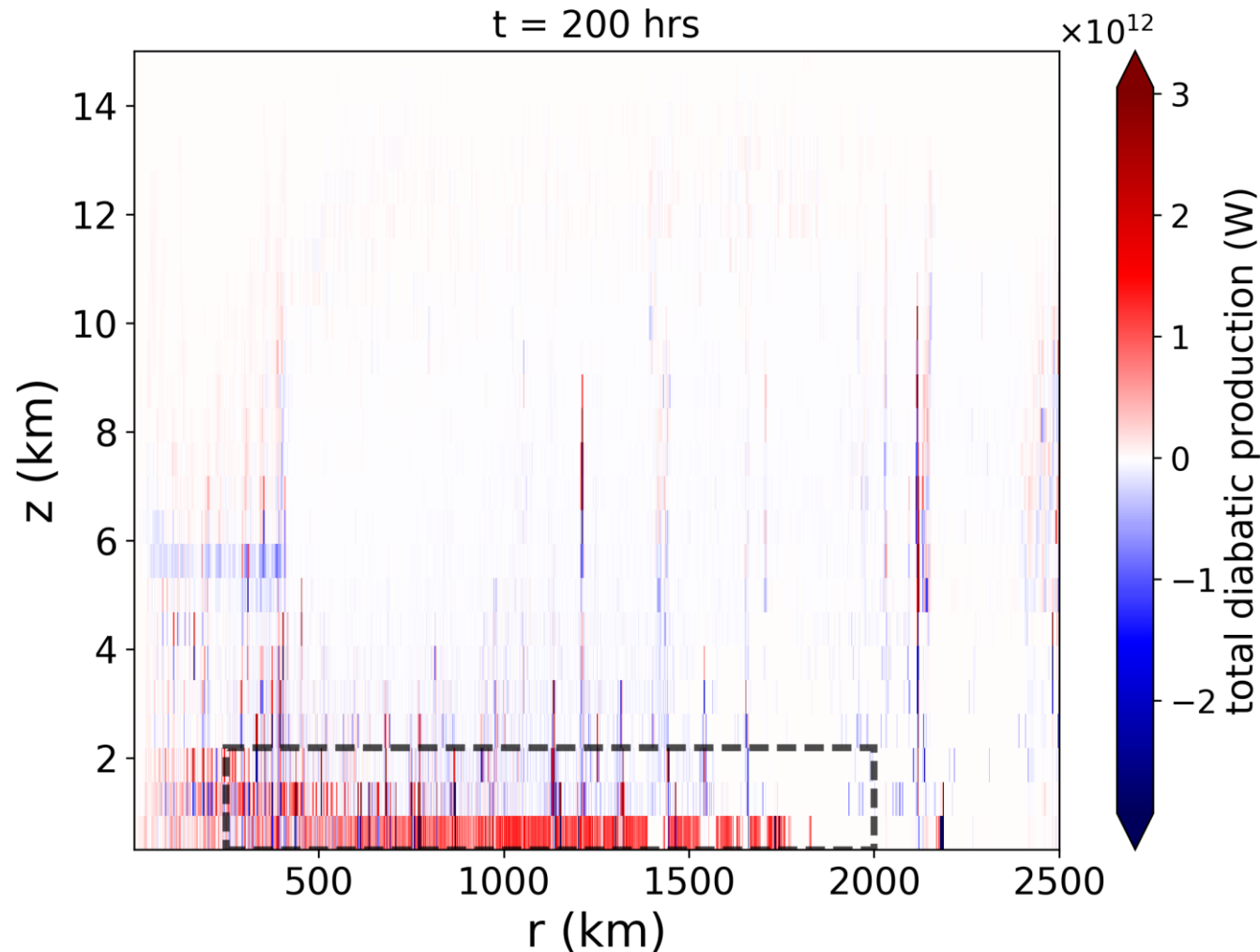
# APE BUDGET: INNER REGION

## Mature stage

- Production in regions of highest wind less important than transport by secondary circulation
  - Where and how is APE produced?

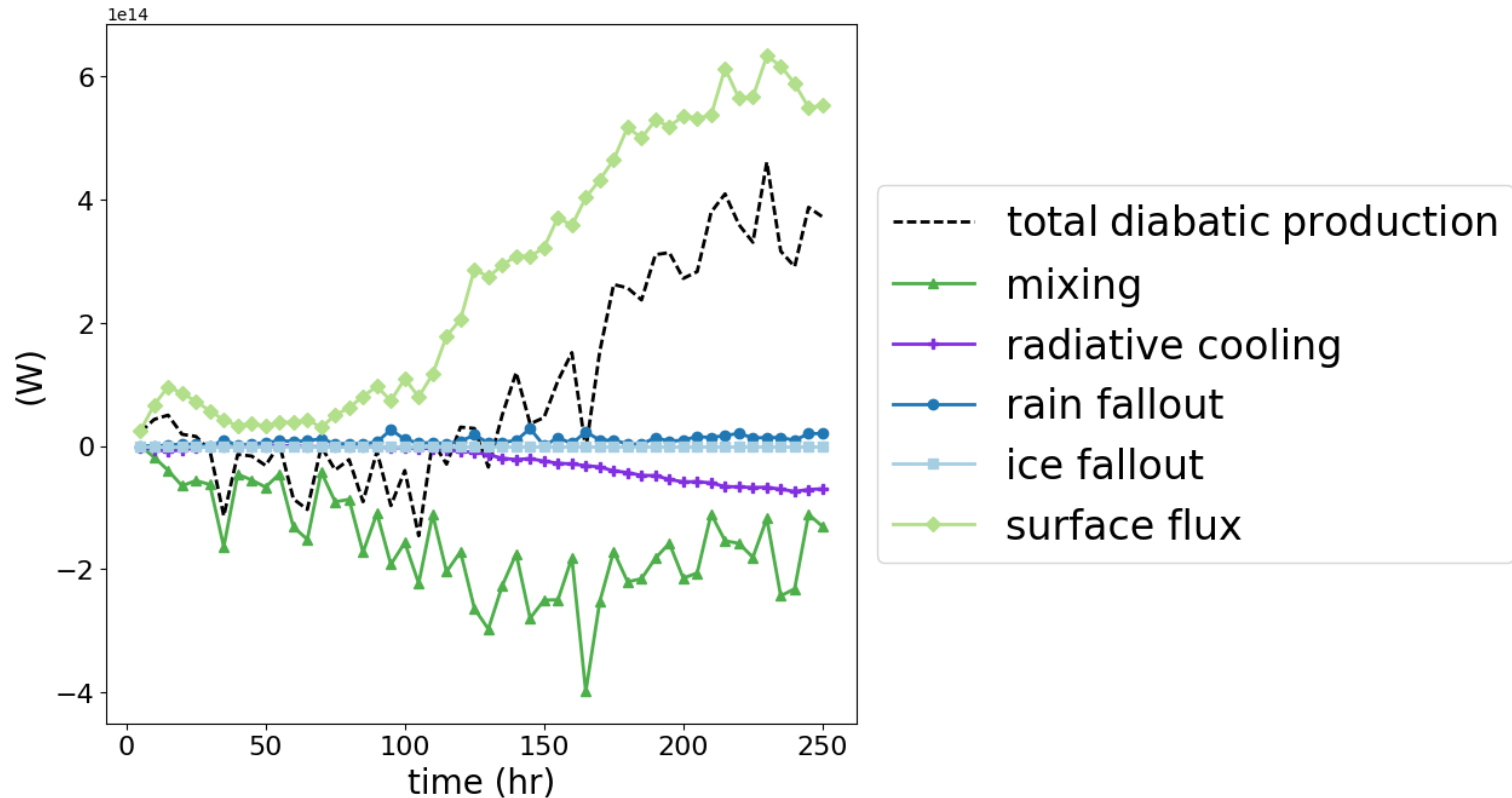


# APE PRODUCTION



- Total diabatic production (both  $\theta_e$  and  $r_t$ ) chiefly in surface parcels
- Budget diabatic production in dashed box (*inflow* region)

# APE PRODUCTION BUDGET: INFLOW

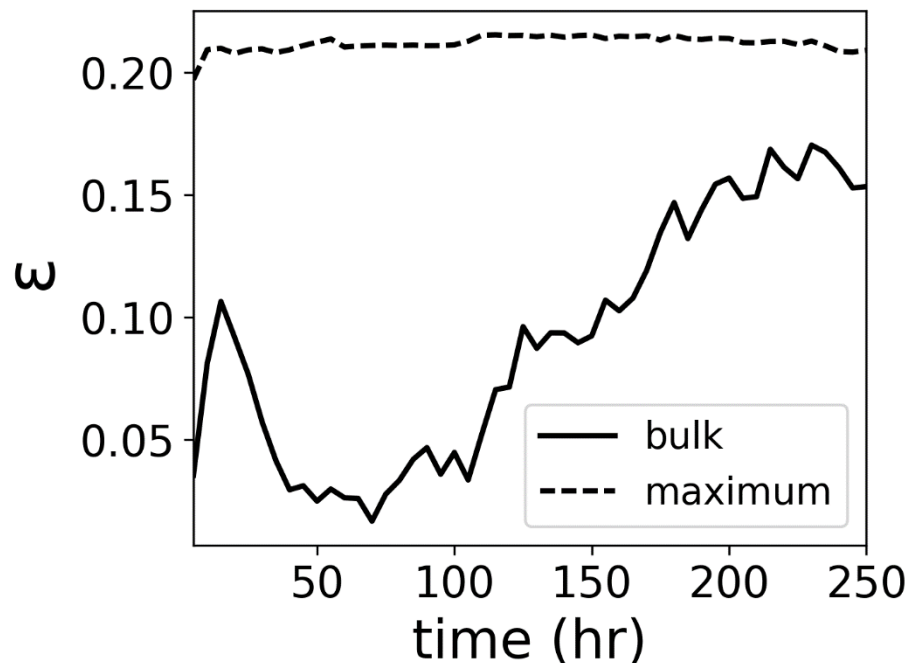


- APE is mostly generated by surface fluxes (primarily latent heat flux) in inflow region
- This is transported to provide the main APE source for the inner regions of the TC
- Subgrid diffusive mixing acts to reduce surface source of APE

# SURFACE APE EFFICIENCY

- Define efficiency of surface parcels:

$$\varepsilon = \frac{\text{total APE production by surface fluxes}}{\text{total surface enthalpy flux}}$$



- Maximum efficiency 20%  $\approx$  Carnot efficiency of TC
- Compare with 1% efficiency when using total potential energy budget (*Hack & Schubert, 1986*)
- Bulk efficiency varies with time as more parcels become buoyant



# SUMMARY

- Possible to construct a closed budget of Available Potential Energy density for a TC
- Main energy supply for TC is the generation of Available Potential Energy via surface fluxes
- Surface enthalpy fluxes generate APE with a maximum efficiency of approximately 20%, and an overall efficiency that varies in time
- For inner regions, inward transport of APE more important than local production
- Import of APE balanced by conversion to KE

# FUTURE DIRECTIONS

- Does the temporal variation of APE efficiency play an important role in governing intensification?
- Can APE budget provide physical explanations for how different treatments of moisture and convection in models lead to differences in TCs?
  - Apply budget to convection-permitting Met Office regional NWP models, and high-resolution climate model output

# SUMMARY

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