

# Data assimilation of atmospheric composition

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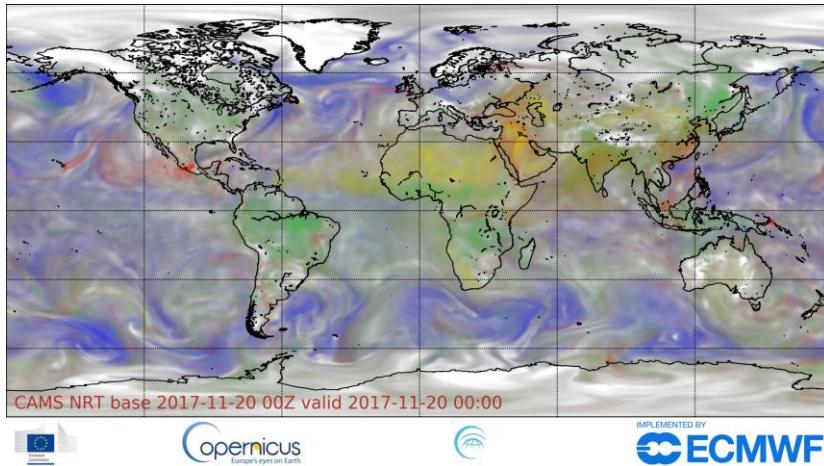
Contributions from: Antje Inness, Richard Engelen, Johannes Flemming, Sebastien Massart, Angela Benedetti and Alessio Bozzo

# Why atmospheric composition at an operational weather centre?

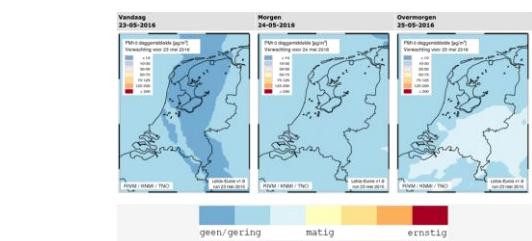
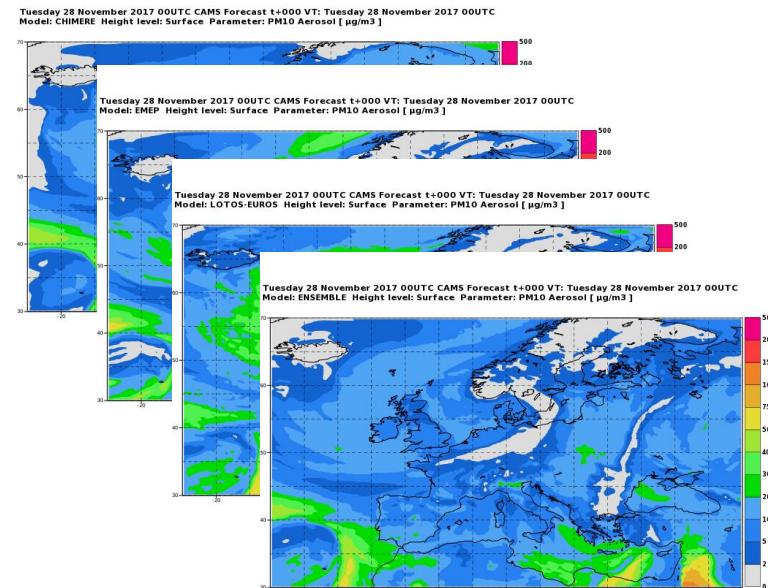


People are affected by air quality and ask for better information

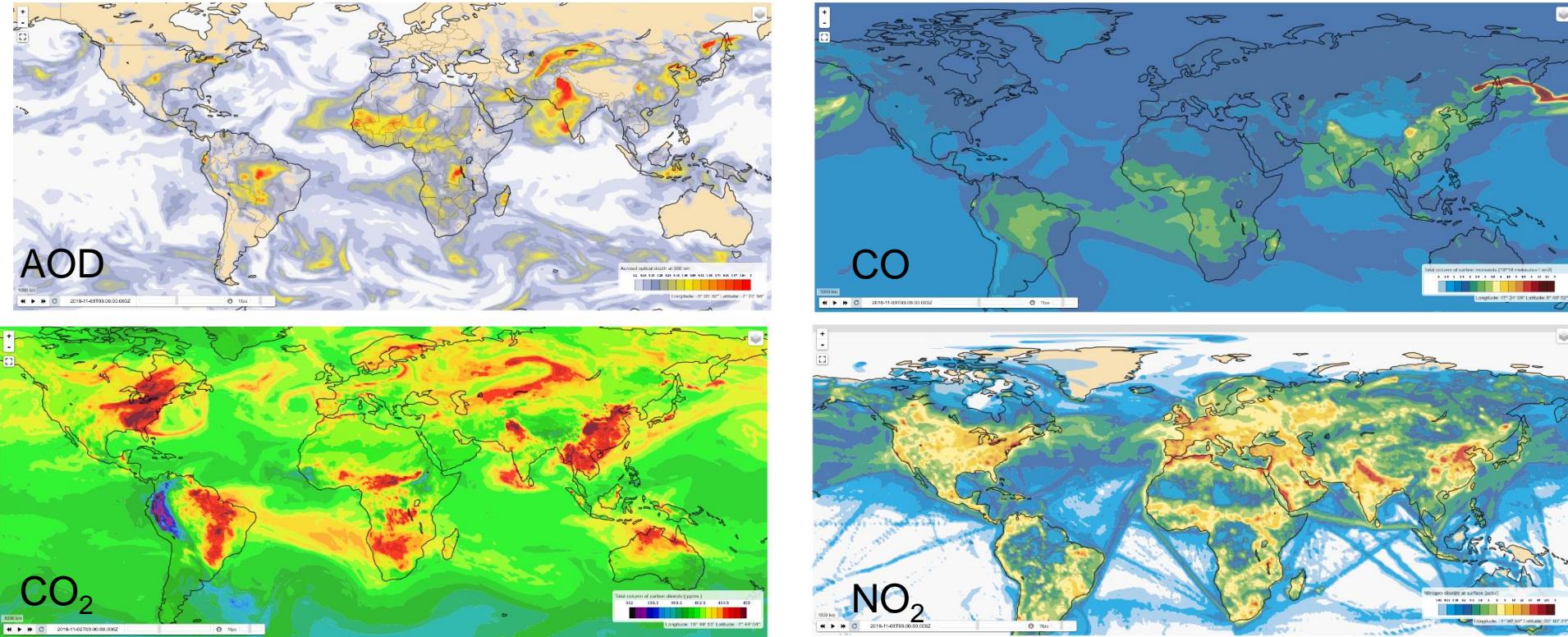
# Copernicus Atmosphere Monitoring Service



Transforming satellite observations into user-driven services.



# Copernicus Global System



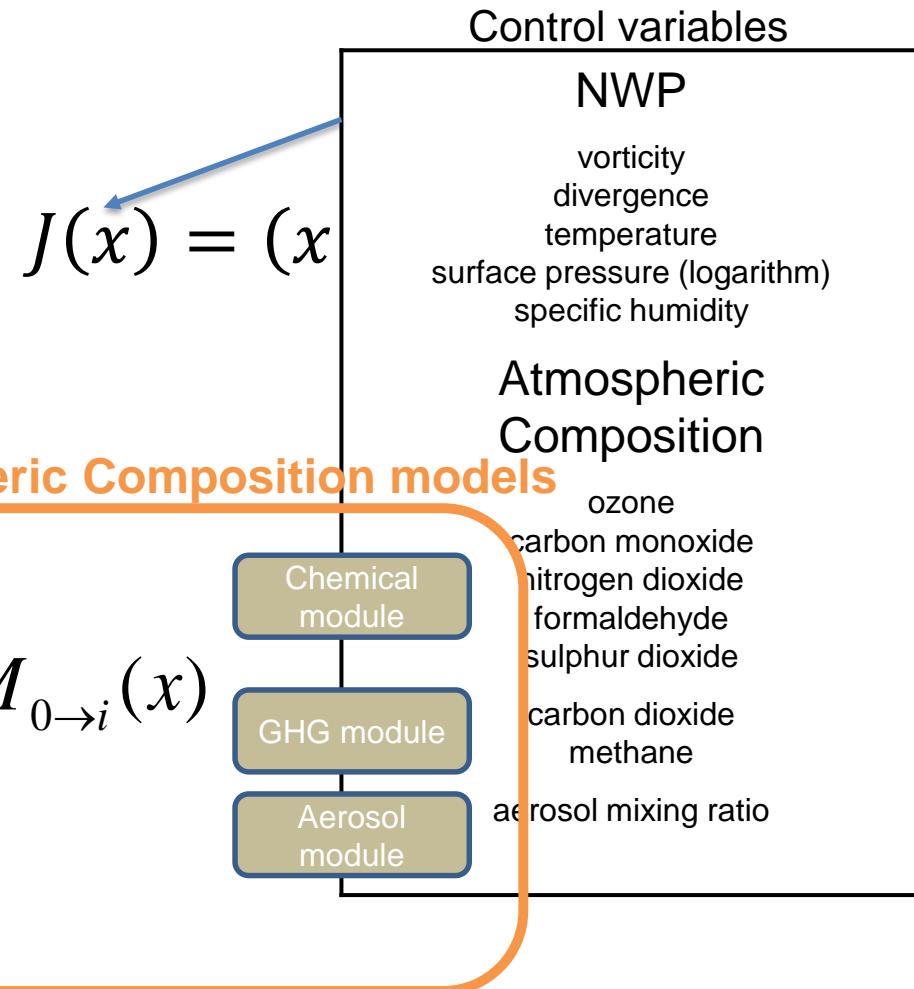
40km horizontal resolution at 60 model levels; two 5-day forecasts at 00z and 12z UTC each day

- Aerosols (AOD and concentration): biomass burning, dust, sea-salt, sulphate
- Reactive gases: CO, HCHO, NO<sub>2</sub>, O<sub>3</sub>, SO<sub>2</sub>

9km horizontal resolution at 137 model levels; one 5-day forecast per day (CO<sub>2</sub>, CH<sub>4</sub>, linear CO)

# Data Assimilation Methodology for Atmospheric Composition

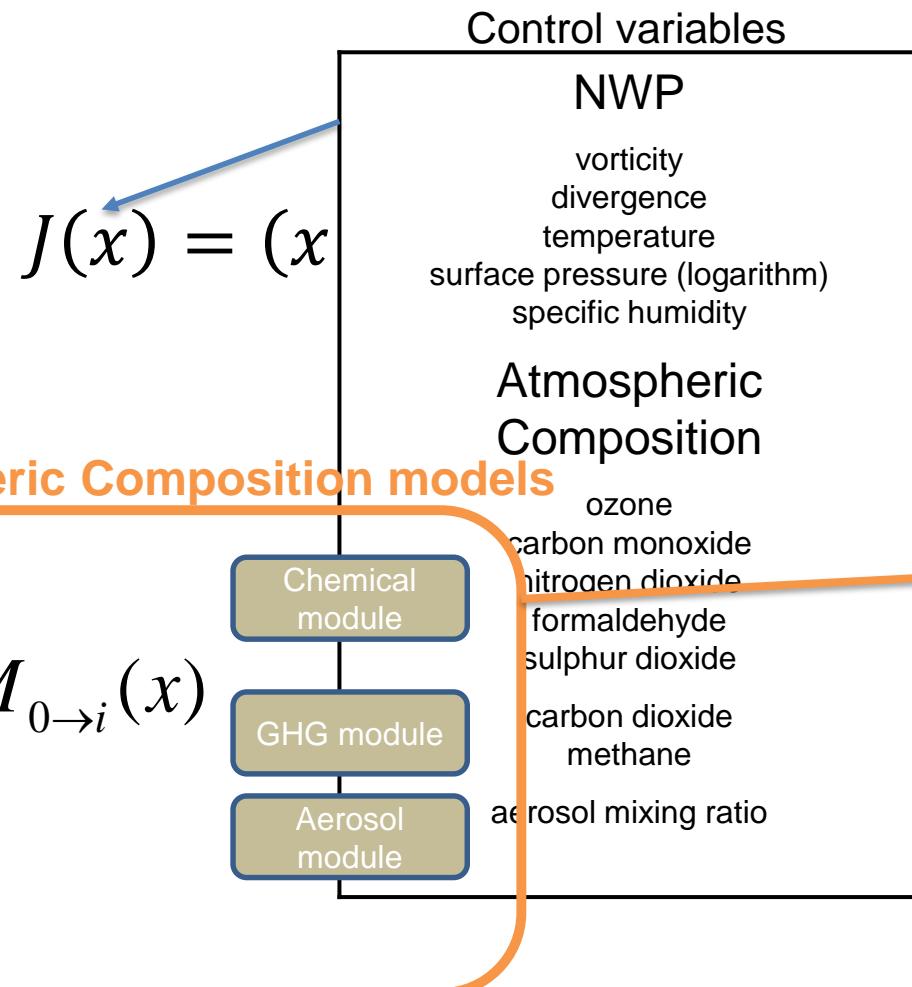
# Data Assimilation Methodology



Data assimilation for atmospheric composition is in principle no different from NWP data assimilation

$$) + \sum_{i=0}^n (y_i - H_i[x_i])^T R_i^{-1} (y_i - H_i[x_i])$$

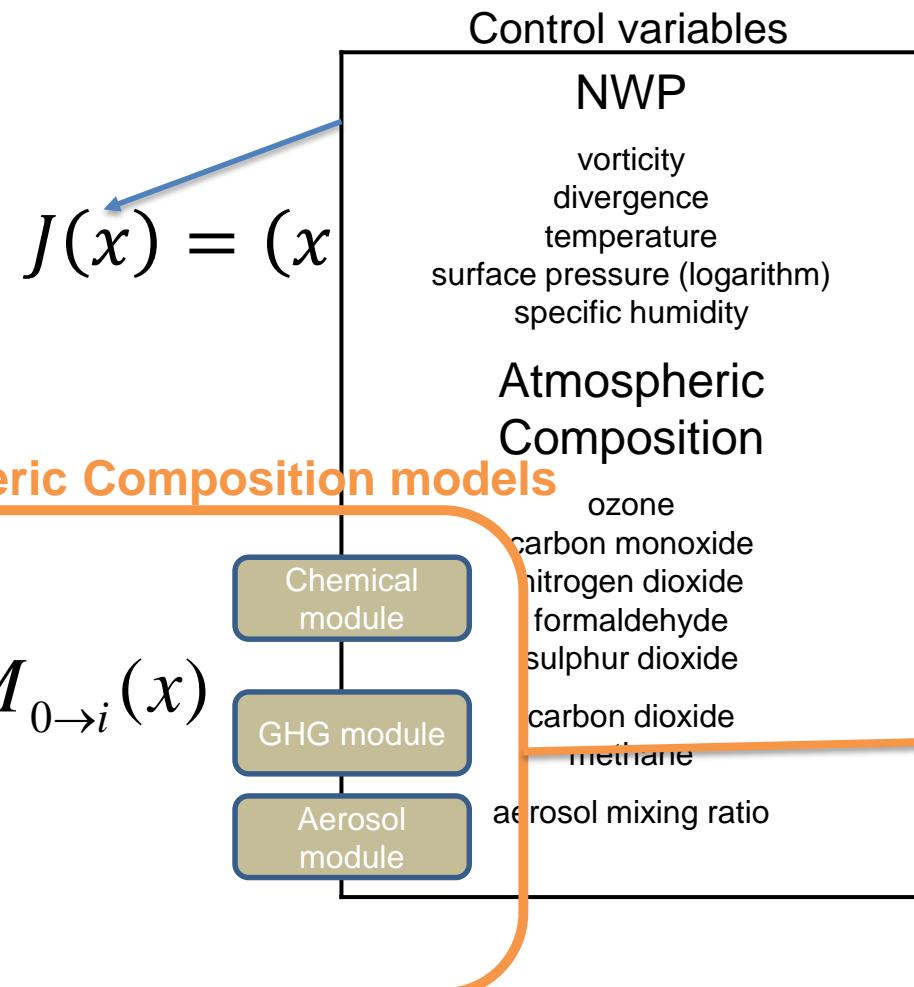
# Data Assimilation Methodology



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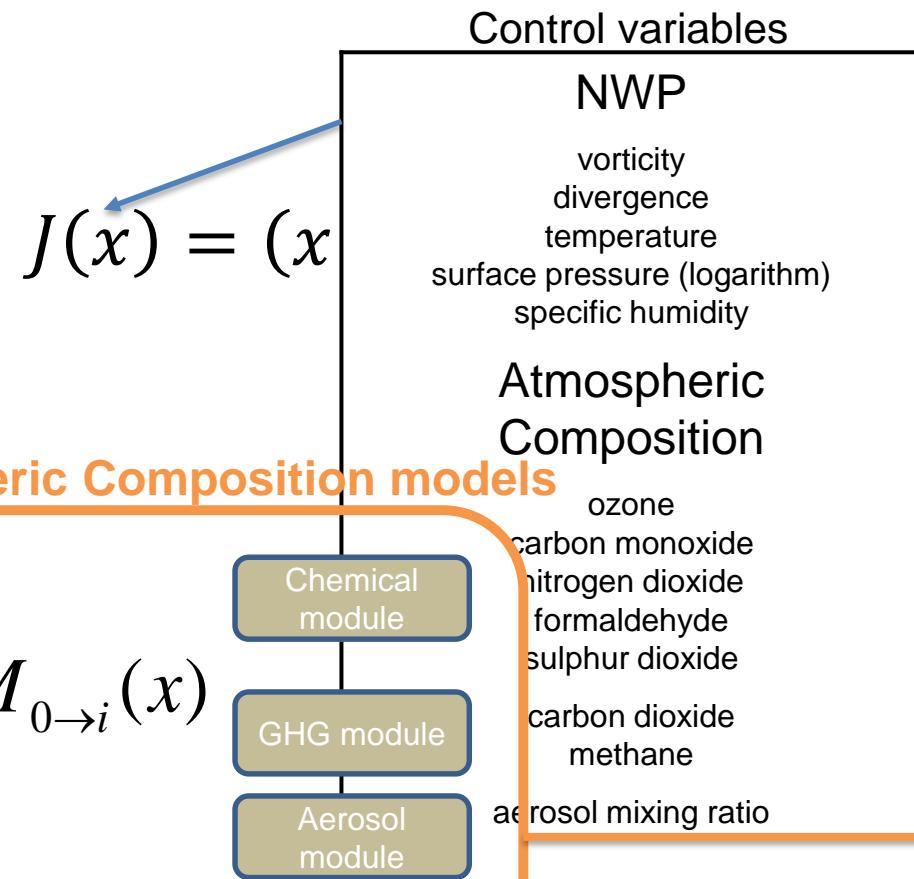
Greenhouse Gas Module      IFS

CHTESEL

Photosynthesis & ecosystem respiration model  
Diagnoses the gross primary production of CO<sub>2</sub>  
by plants and release of CO<sub>2</sub> by soil

CH<sub>4</sub> comes from prescribed emissions and  
climatological loss

# Data Assimilation Methodology



**Data assimilation for atmospheric composition is in principle no different from NWP data assimilation**

$$) + \sum_{i=0}^n (y_i - H_i[x_i])^T R_i^{-1} (y_i - H_i[x_i])$$

Aerosol bin scheme

IFS

12 aerosol-related prognostic variables:  
3 bins sea-salt, 3 bins dust, Black carbon,  
Organic matter, Sulphate

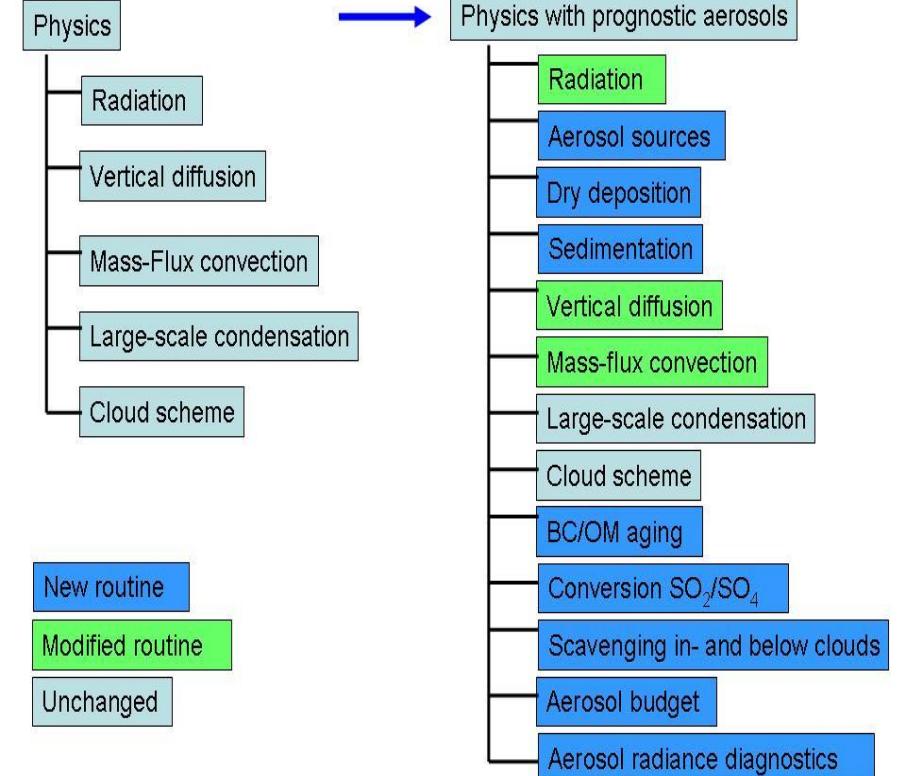
Emissions, dry and wet deposition,  
sedimentation

# Combining the atmospheric composition and NWP models

- Atmospheric composition models can be run coupled to NWP or fully integrated.

**IFS**  
In the IFS the atmospheric composition and NWP models are fully integrated

**NWP**                                   **NWP with aerosols**

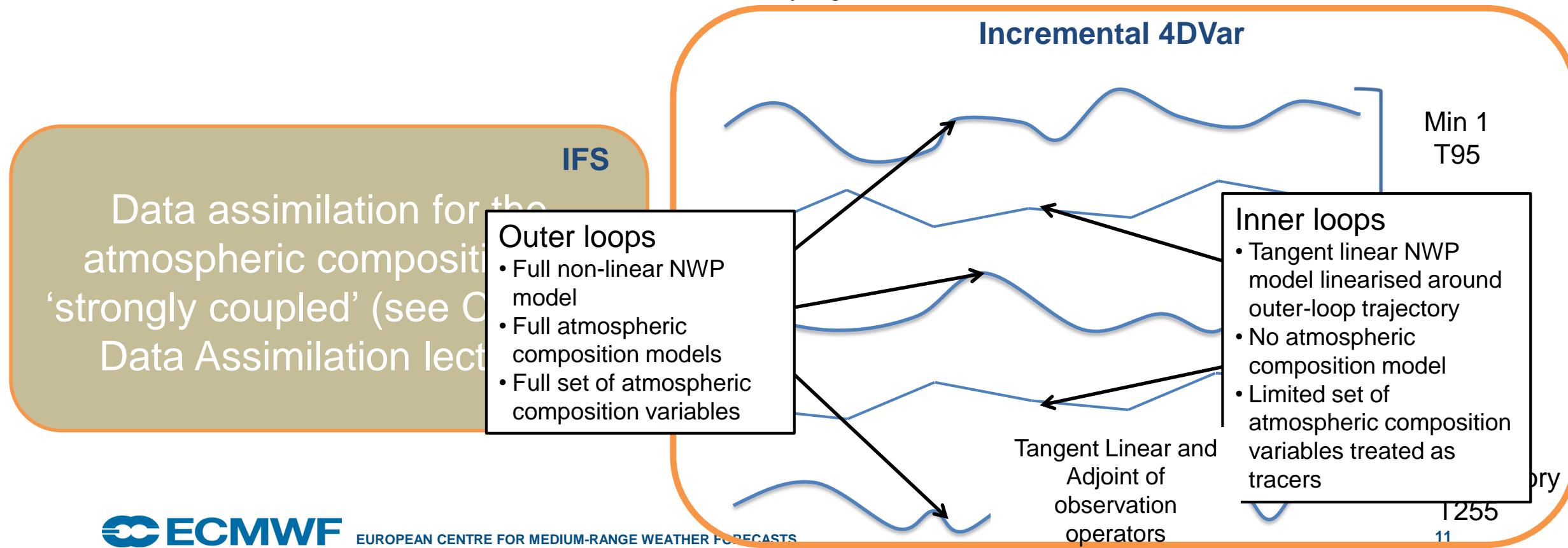


Morcrette et al. 2009, *JGR*, 114,  
doi:10.1029/2008JD011235

# Data Assimilation Methodology

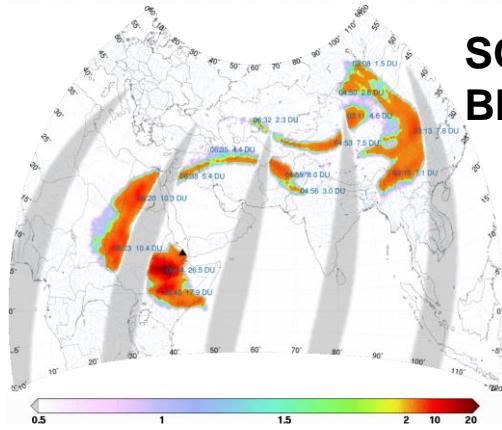
Data assimilation for atmospheric composition is in principle no different from NWP data assimilation

$$J(x) = (x - x_b)^T B^{-1} (x - x_b) + \sum_{i=0}^n (y_i - H_i[x_i])^T R_i^{-1} (y_i - H_i[x_i])$$

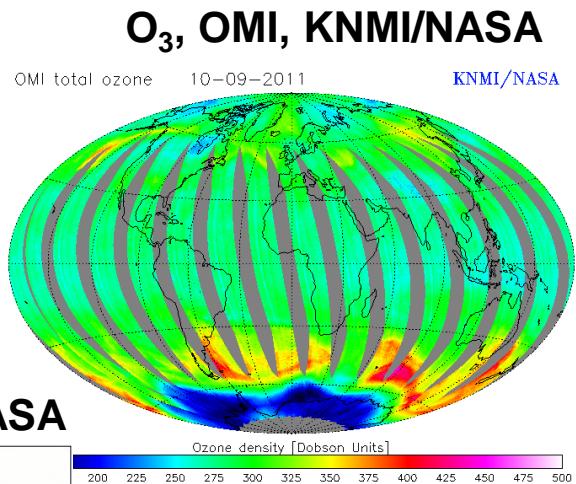


# Observations of Atmospheric Composition

# Satellite Observations



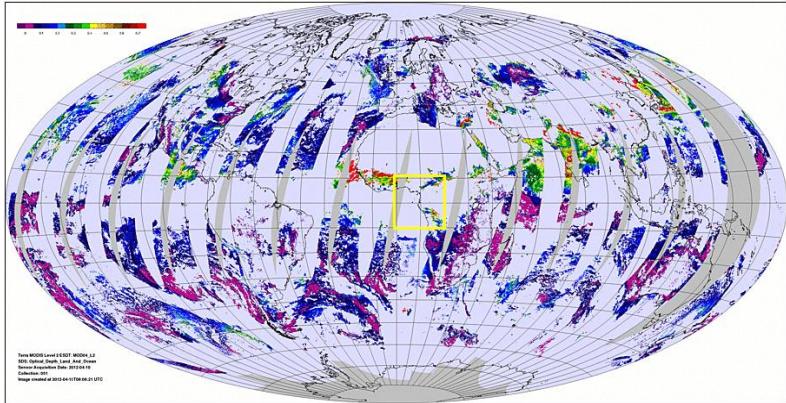
SO<sub>2</sub>, GOME-2, SACS,  
BIRA/DLR/EUMETSAT



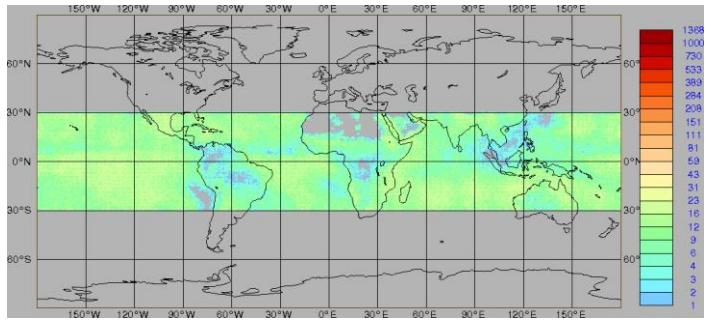
O<sub>3</sub>, OMI, KNMI/NASA

OMI total ozone 10-09-2011 KNMI/NASA

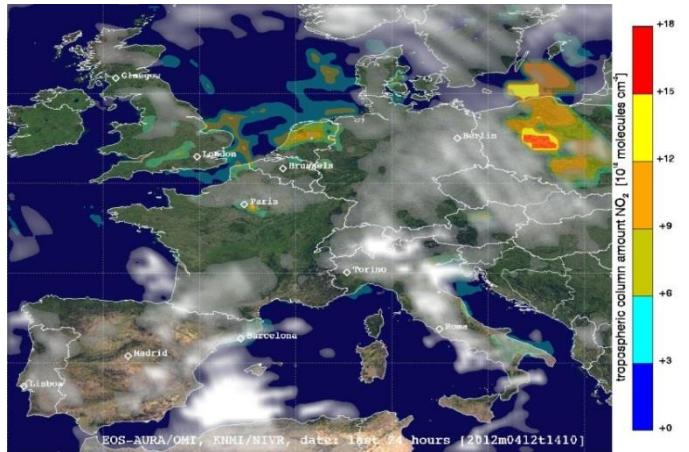
Aerosol Optical Depth, MODIS, NASA



CH<sub>4</sub>, IASI, LMD



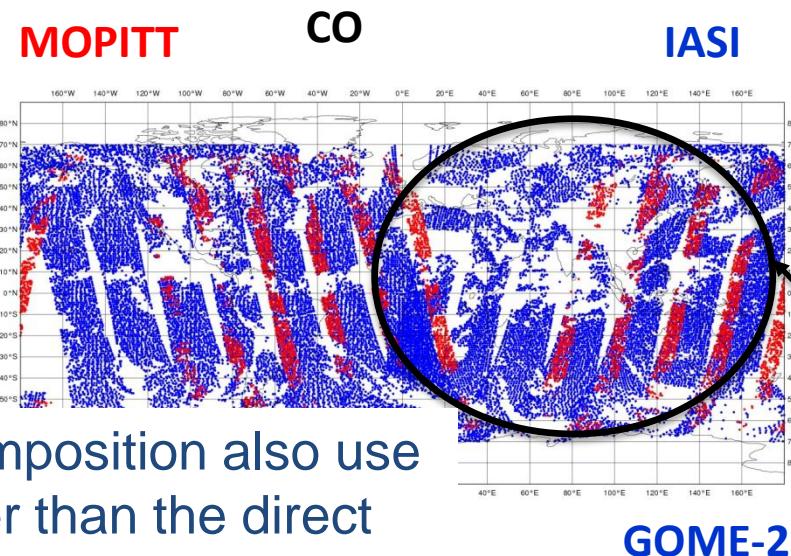
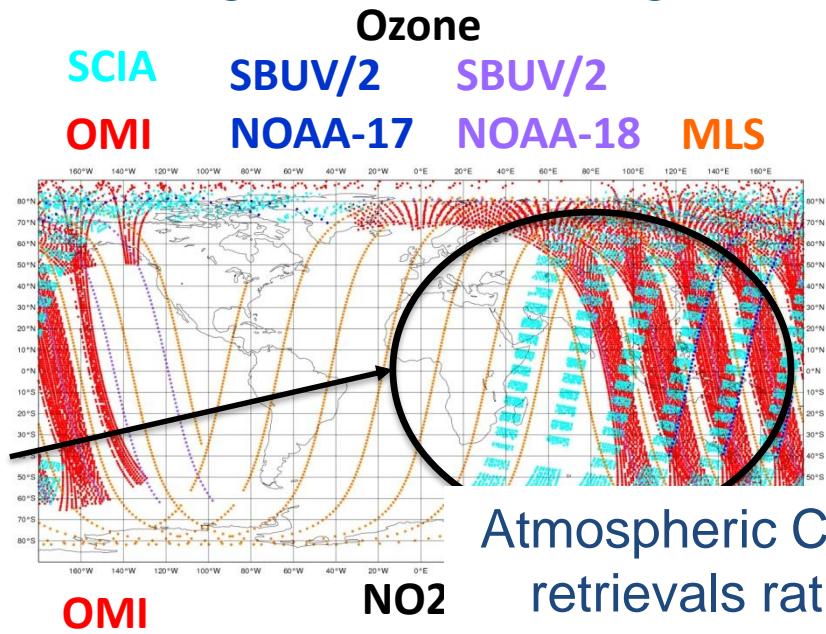
NO<sub>2</sub>, OMI, KNMI/NASA



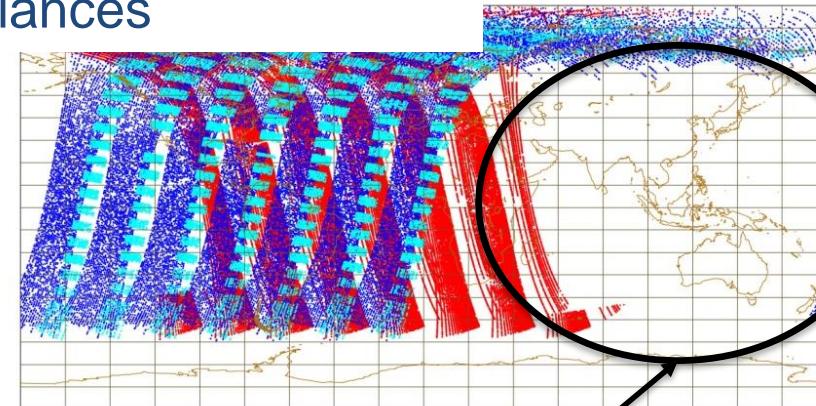
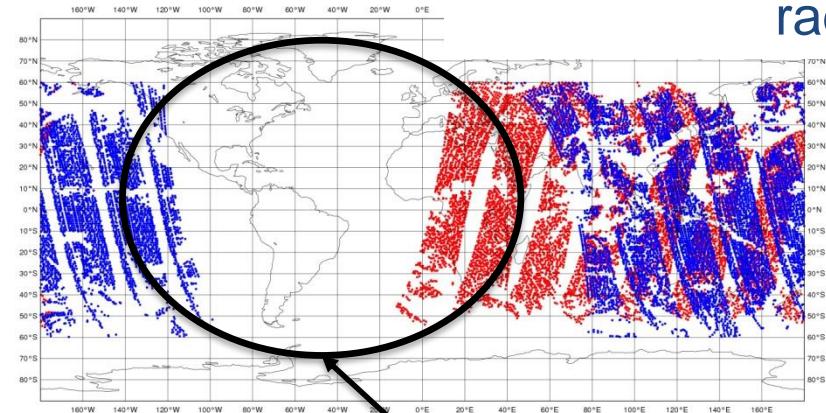
Atmospheric composition observations traditionally come from UV/VIS measurements. This limits the coverage to day-time, cloud-free only. Infrared/microwave are now adding more and more to this spectrum of observations (MOPITT, AIRS, IASI, MLS, MIPAS ...)

# NRT data coverage for reactive gases

Global coverage in a few days (LEO) – fixed overpass time



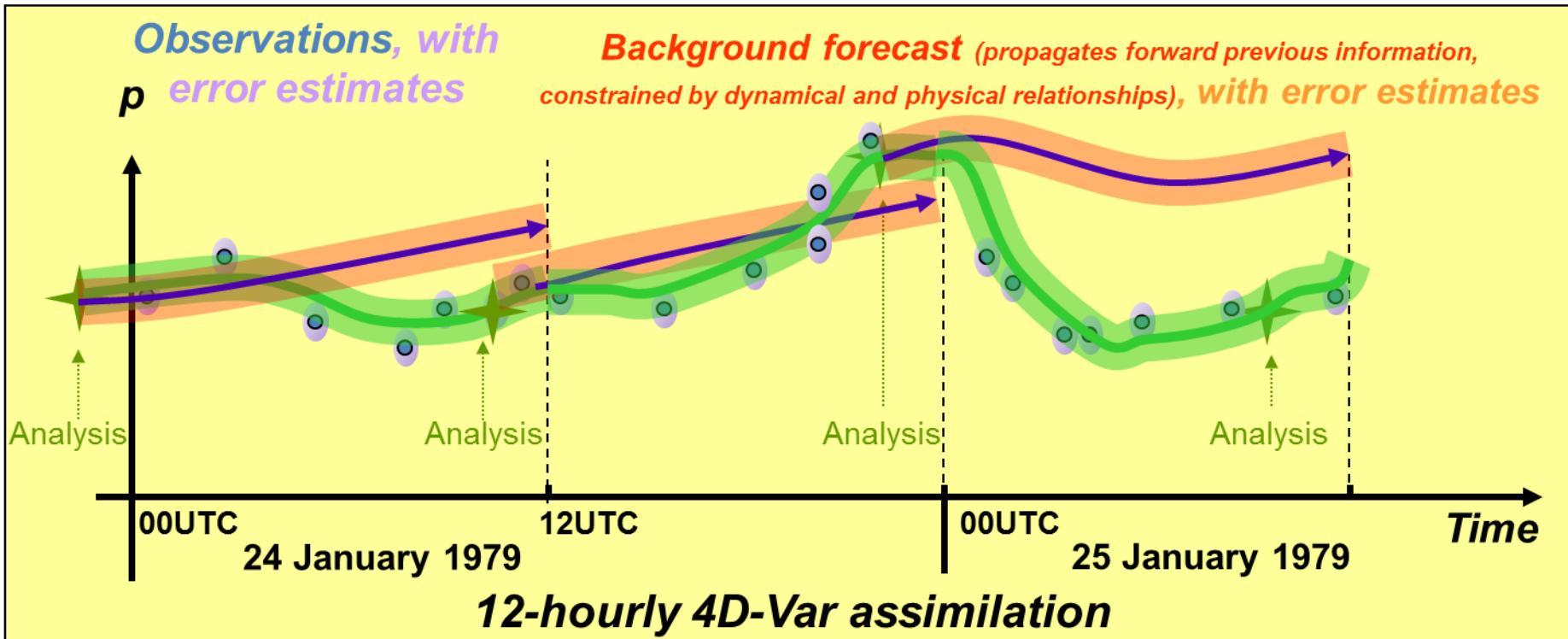
Atmospheric Composition also use retrievals rather than the direct radiances



Fixed overpass times and daylight conditions only (UV-VIS) -> no daily maximum/cycle

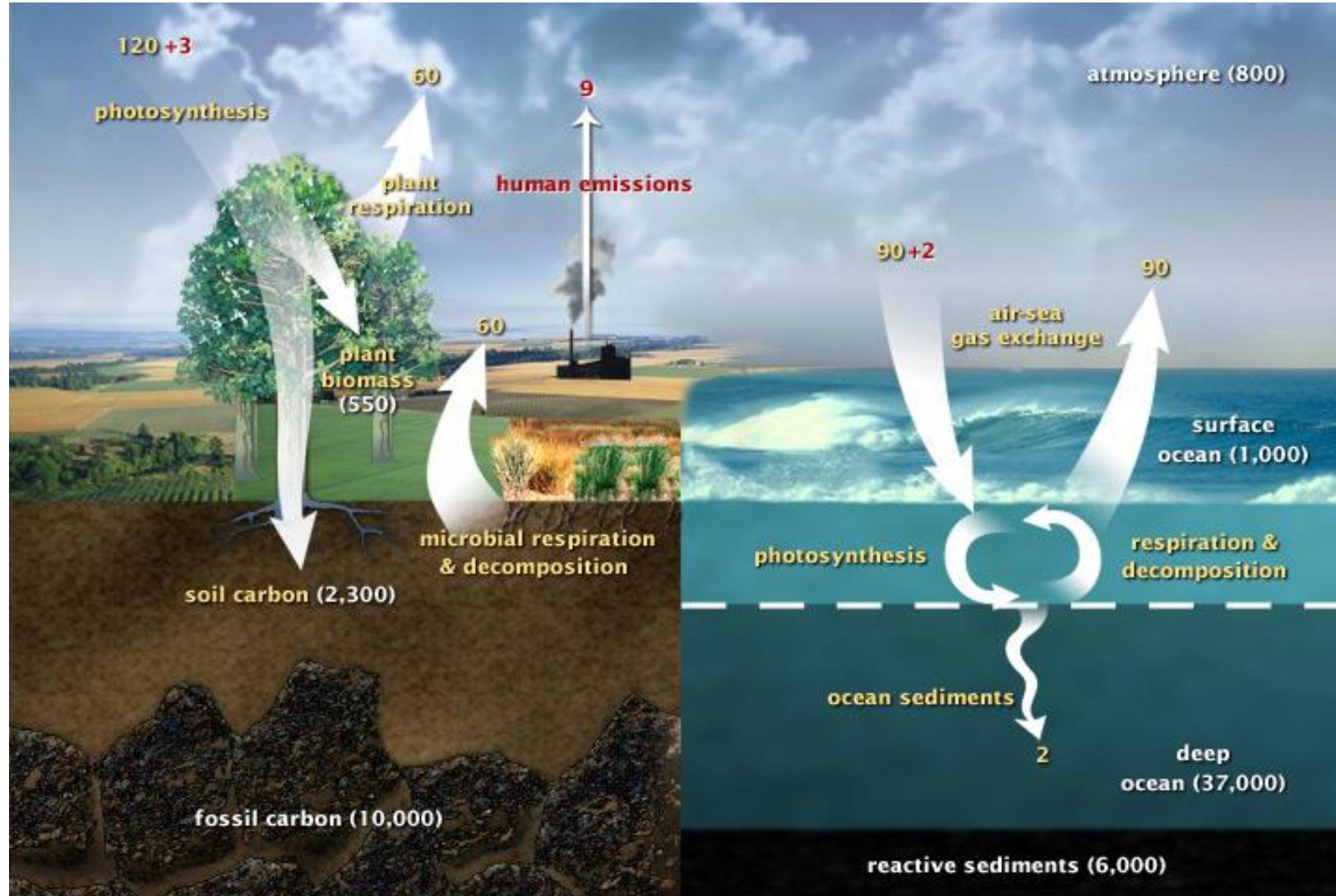
# Challenges for Atmospheric Composition Data Assimilation

# 1. Initial vs Boundary Problem



- NWP 4D-Var is mostly defined as an initial value problem. Only initial conditions are changed and model error is relatively small.
- AC modelling depends on initial state and surface fluxes
- Large part of chemical system not sensitive to initial conditions because of chemical equilibrium, but dependent on model parameters (e.g. emissions, deposition, reaction rates, ...)

# Surface fluxes

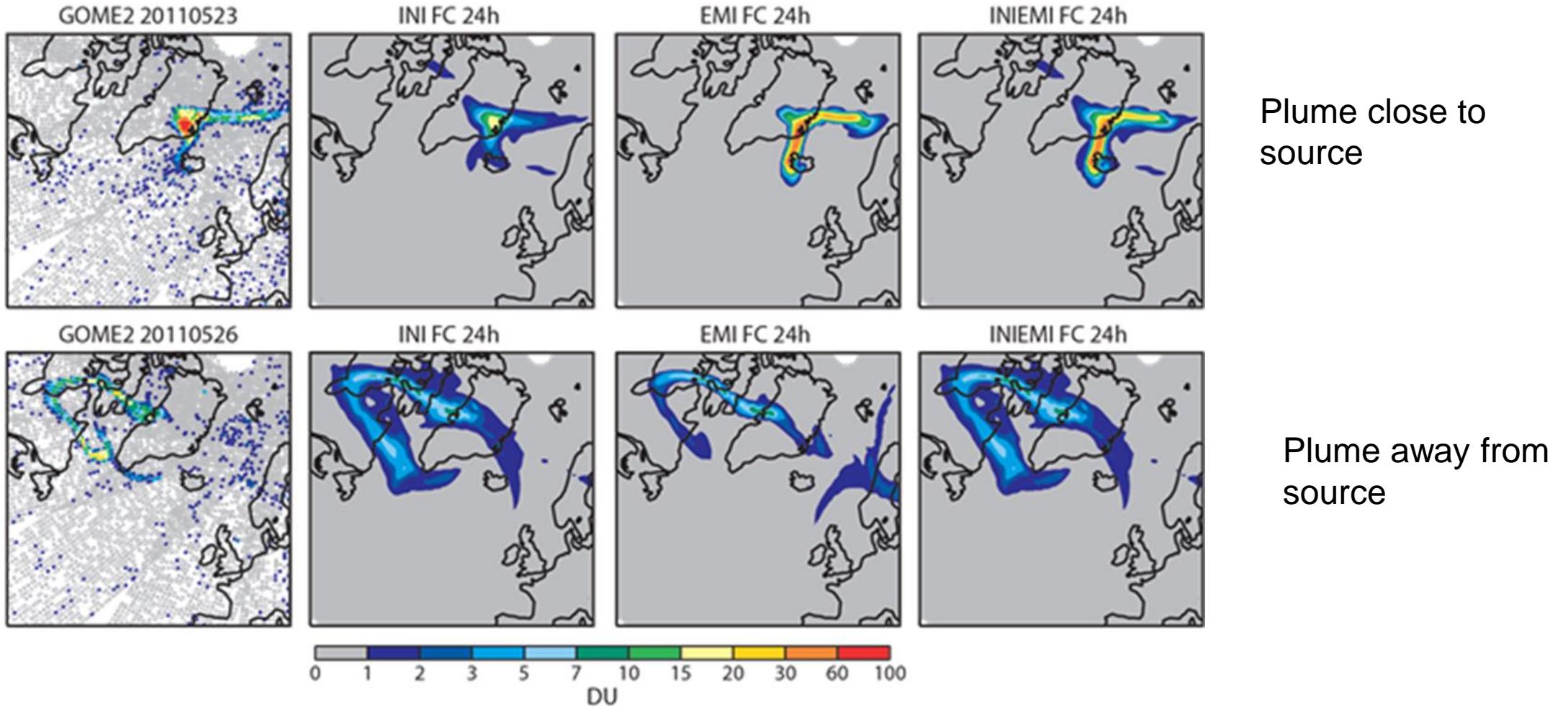


An example of all the surface fluxes that need to be accounted for with CO<sub>2</sub>

## Emission Processes

- Combustion related (CO, NO<sub>x</sub>, SO<sub>2</sub>, VOC, CO<sub>2</sub>):
  - Fossil fuel combustion
  - Biofuel combustion
  - Vegetation fires (man-made and wild fires)
- Fluxes from biogeochemical processes (VOC, CH<sub>4</sub>, CO<sub>2</sub>, Pollen):
  - Biogenic emissions (plants, soils, oceans)
  - Agricultural emissions (incl. fertilisation)
- Fluxes from wind blown dust and sea salt (from spray)
- Volcanic emissions (ash, SO<sub>2</sub>, HBr ...)

## Example: volcanic eruptions

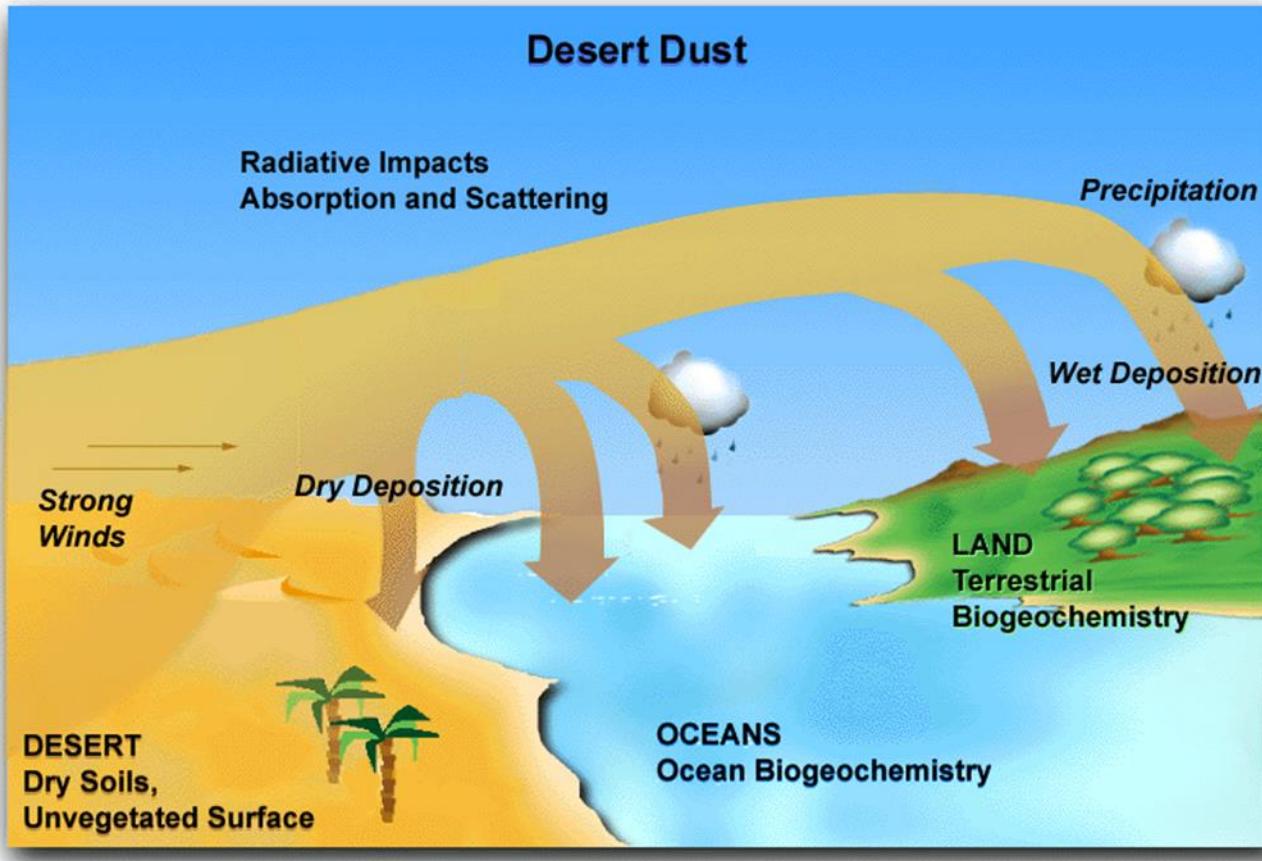


# Emission Estimates

- Emissions are one of the major uncertainties in modeling (can not be measured directly)
- The compilation of emissions inventories is a labour-intensive task based on a wide variety of socio-economic and land use data
- Some emissions can be “modeled” based on wind (sea salt aerosol) or temperature (biogenic emissions)
- Some emissions can be observed indirectly from satellites instruments (Fire radiative power, burnt area, volcanic plumes)
- “Inverse” methods can be used to correct emission estimates using observations and models – in particular for long lived gases such as CO<sub>2</sub> (e.g. Chevallier et al. 2014) and Methane (Bergamaschi et al. 2009)

## How to improve?

Better modelling of fluxes and emissions

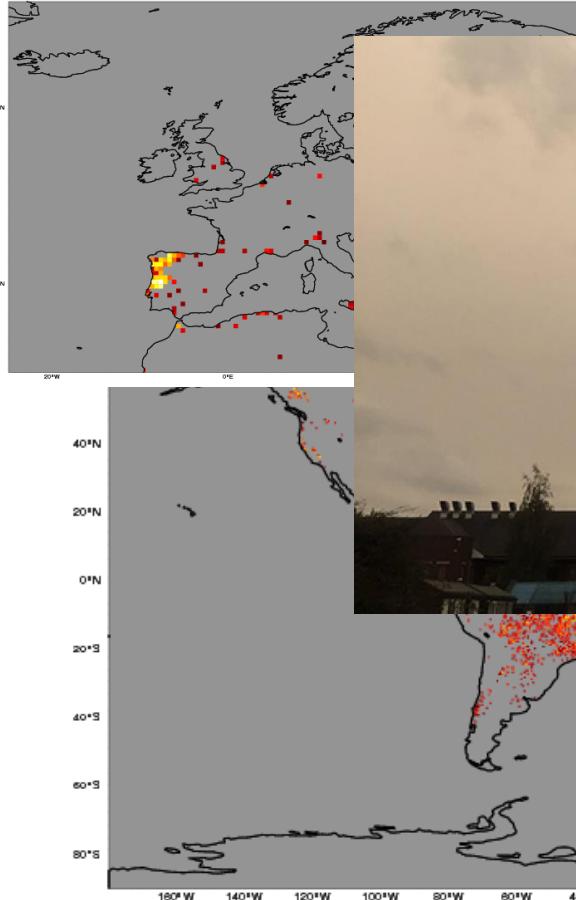


Modelling of sea salt and desert dust fluxes.

# How to improve?

Use near-real-time off-line estimates of emissions

CAMS GFAS Daily Fire Products Sunday 15 October 2017  
Average of Observed Fire Radiative Power Areal Density [mW/m<sup>2</sup>] max value = 13.71 W/m<sup>2</sup>

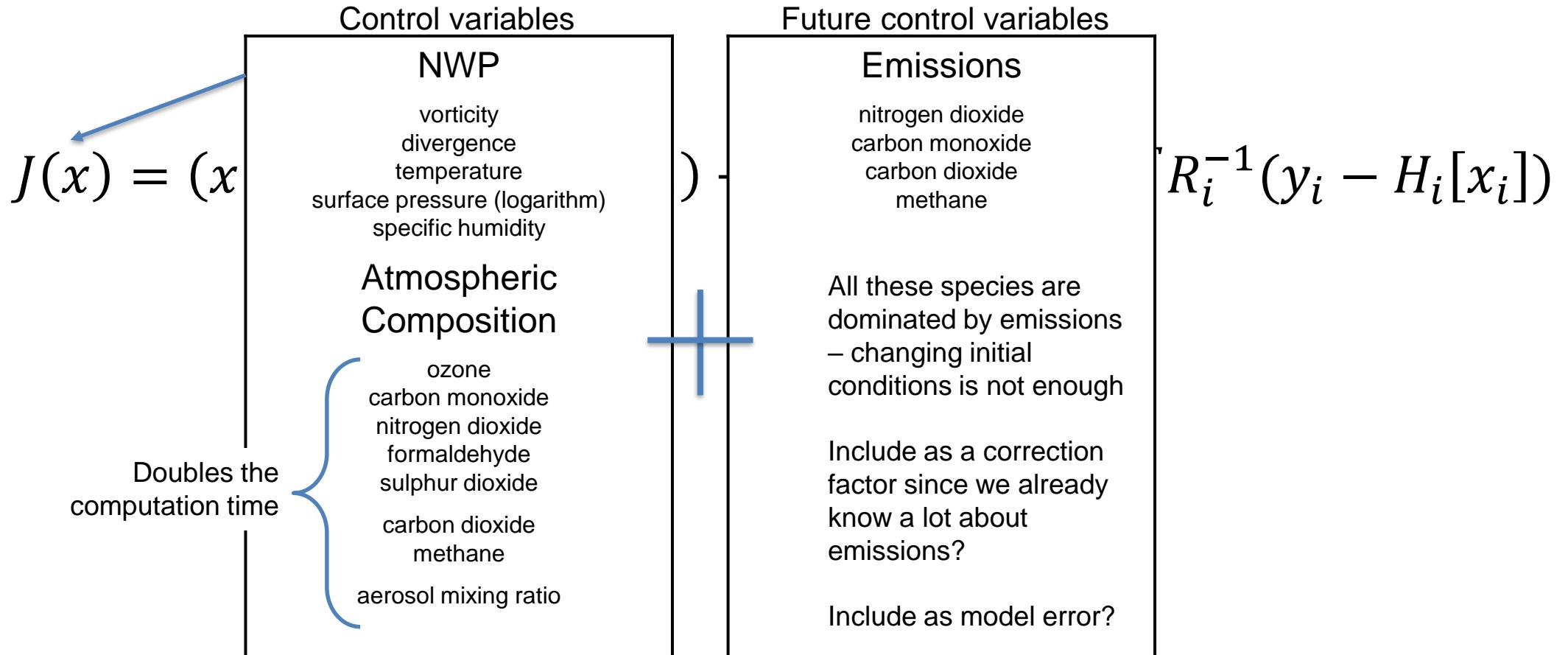


CAMS aerosol optical depth forecast 16 October 2017 07UTC  
orange - dust, red - biomass burning, blue - sea salt, yellow - fires

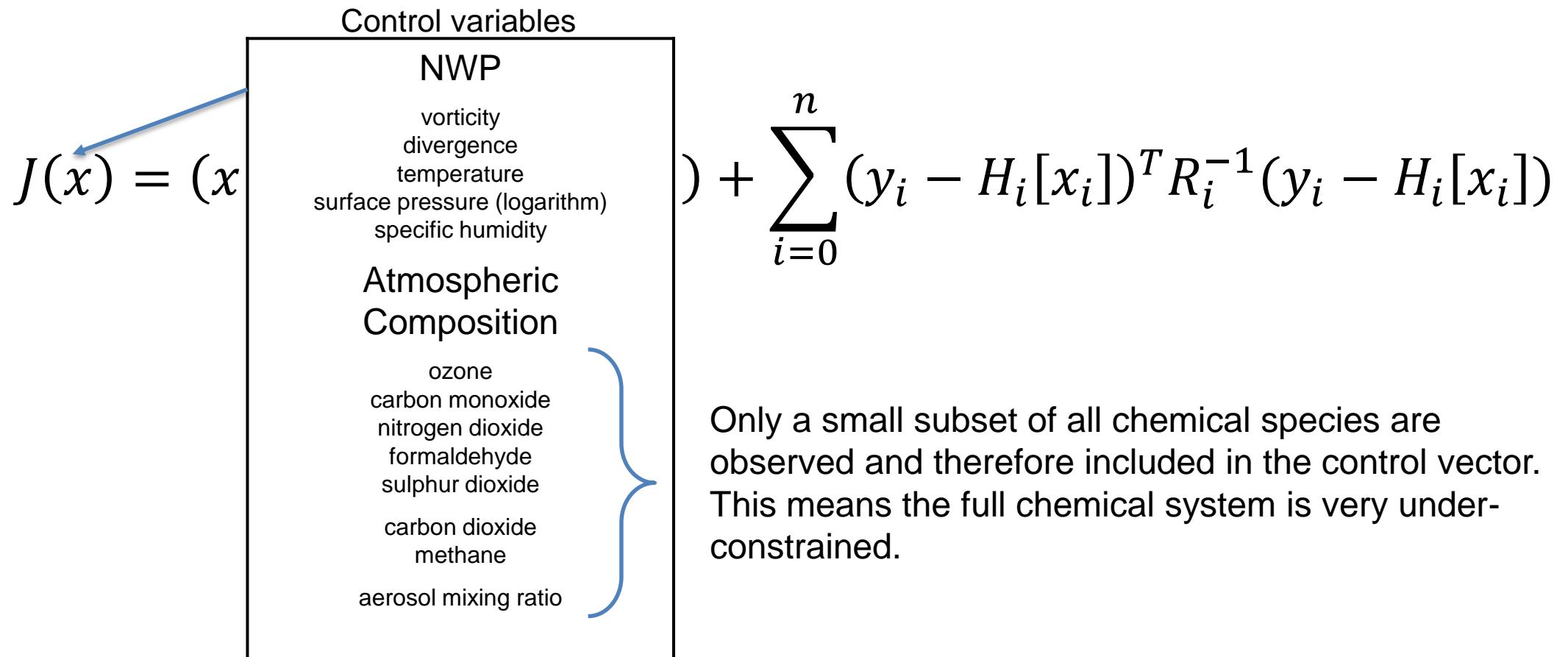


## How to improve?

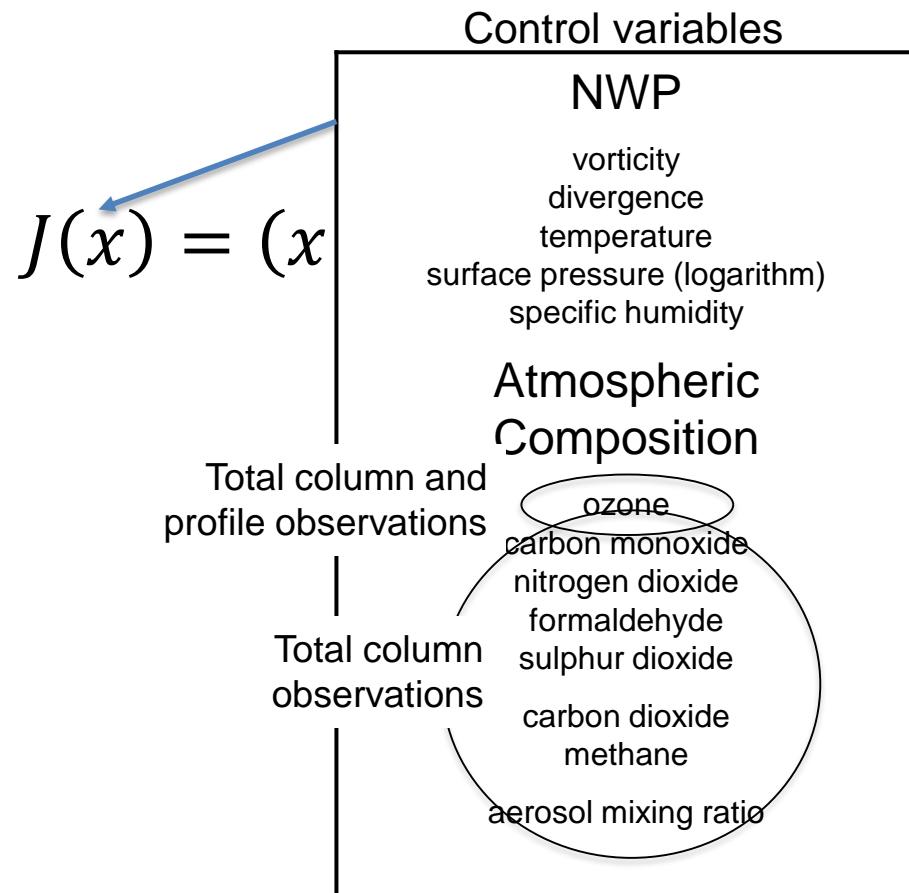
Adjust emissions as well as concentrations



## 2. Mismatch between modelled and observed variables



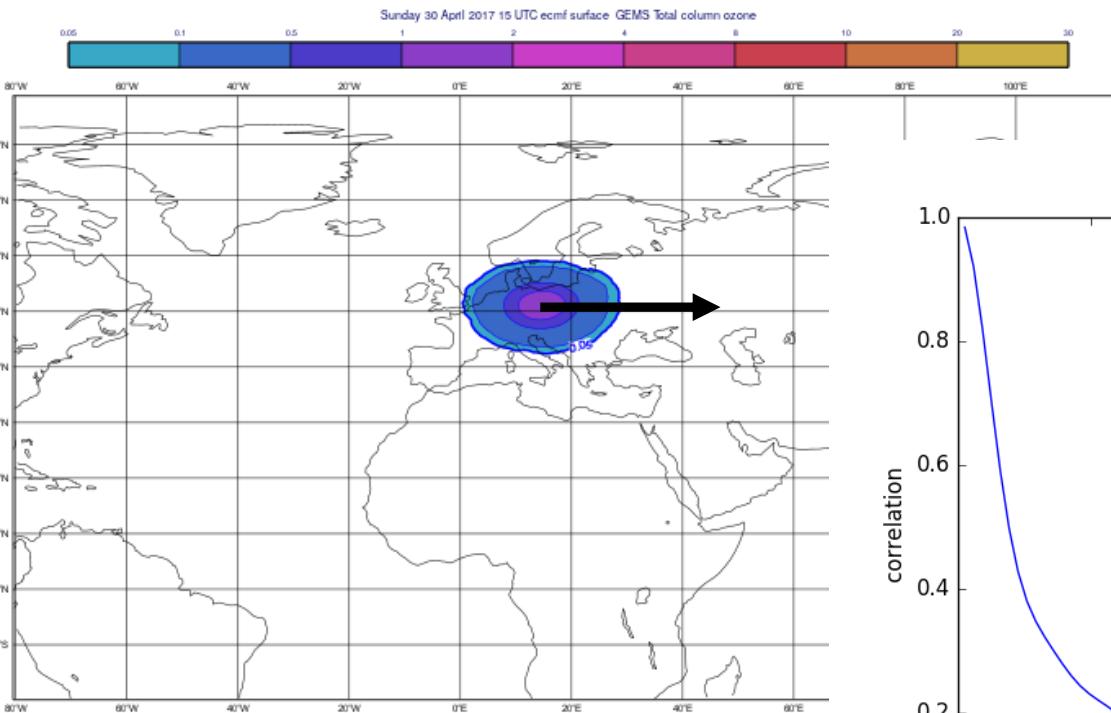
## 2. Mismatch between modelled and observed variables



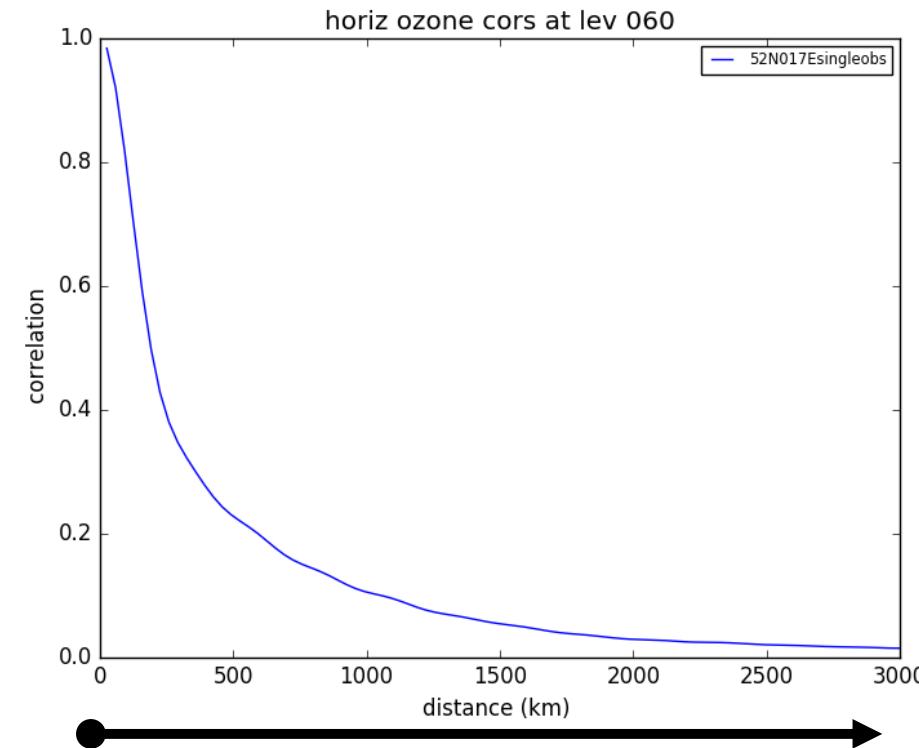
$$) + \sum_{i=0}^n (y_i - H_i[x_i])^T R_i^{-1} (y_i - H_i[x_i])$$

Even for those species that are observed, it is often only total column data that is available.

# Increment from a single total column ozone observation

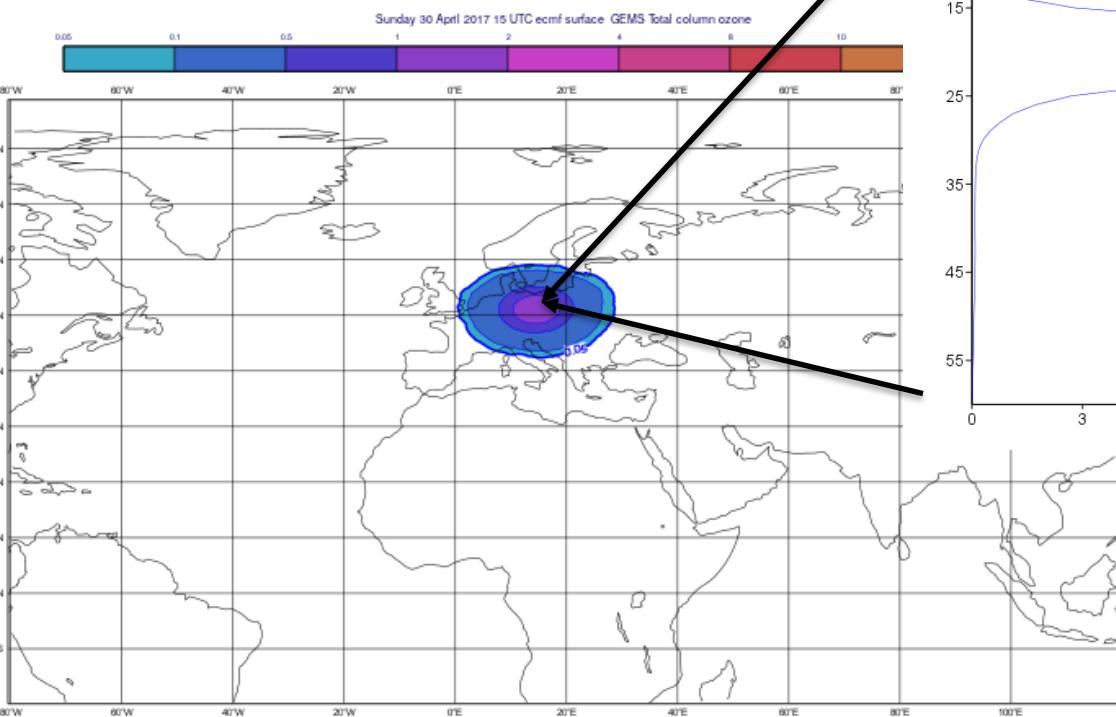


Increment created by a single ozone observation of 375 DU, 10 DU higher than background

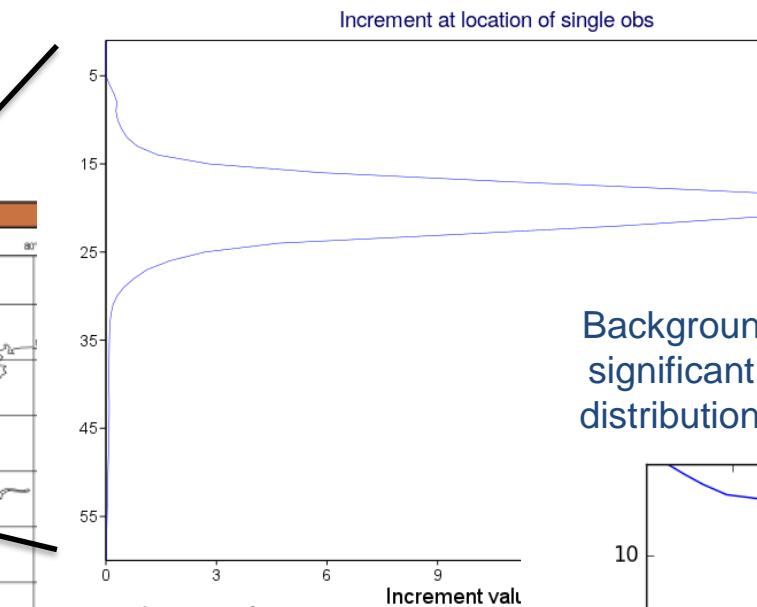


Horizontal correlation from the B-matrix that spreads the information from the single observation in the horizontal

# Increment from a single total column ozone observation



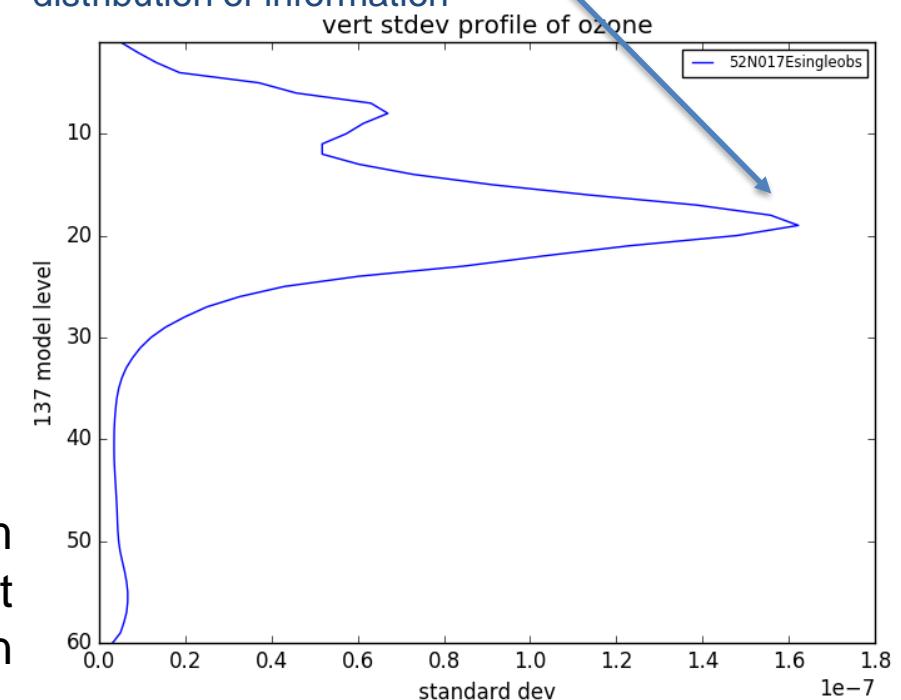
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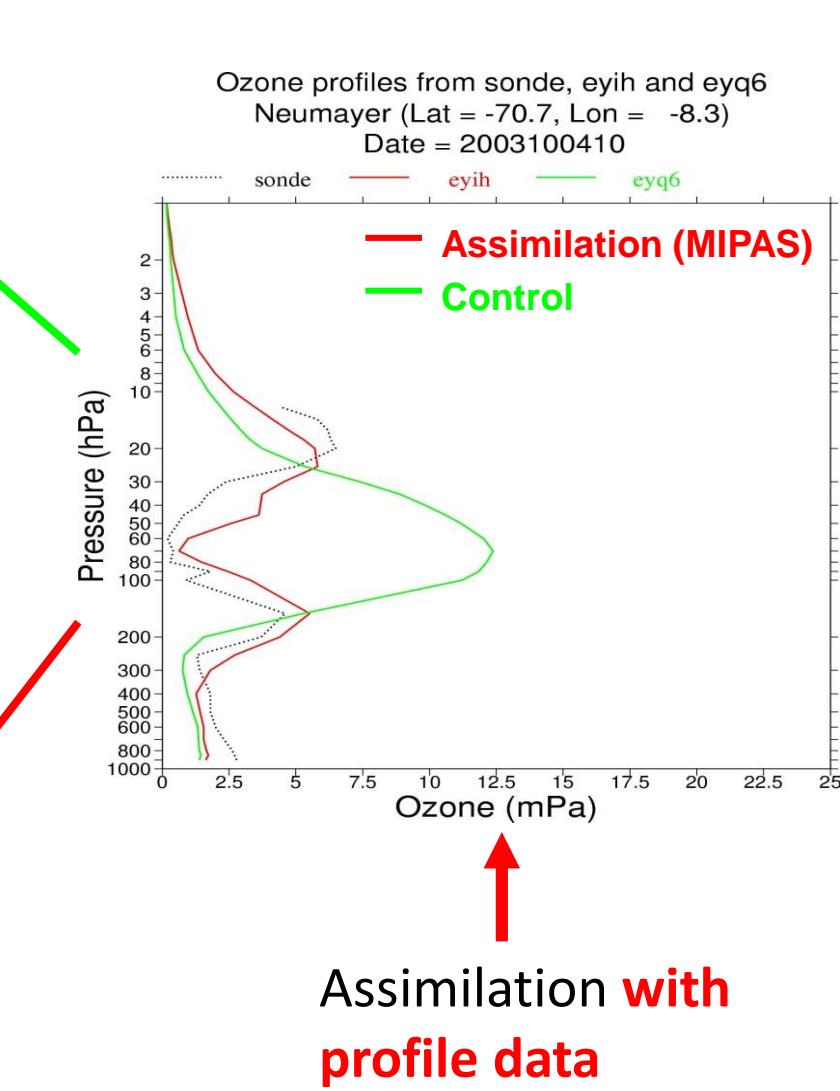
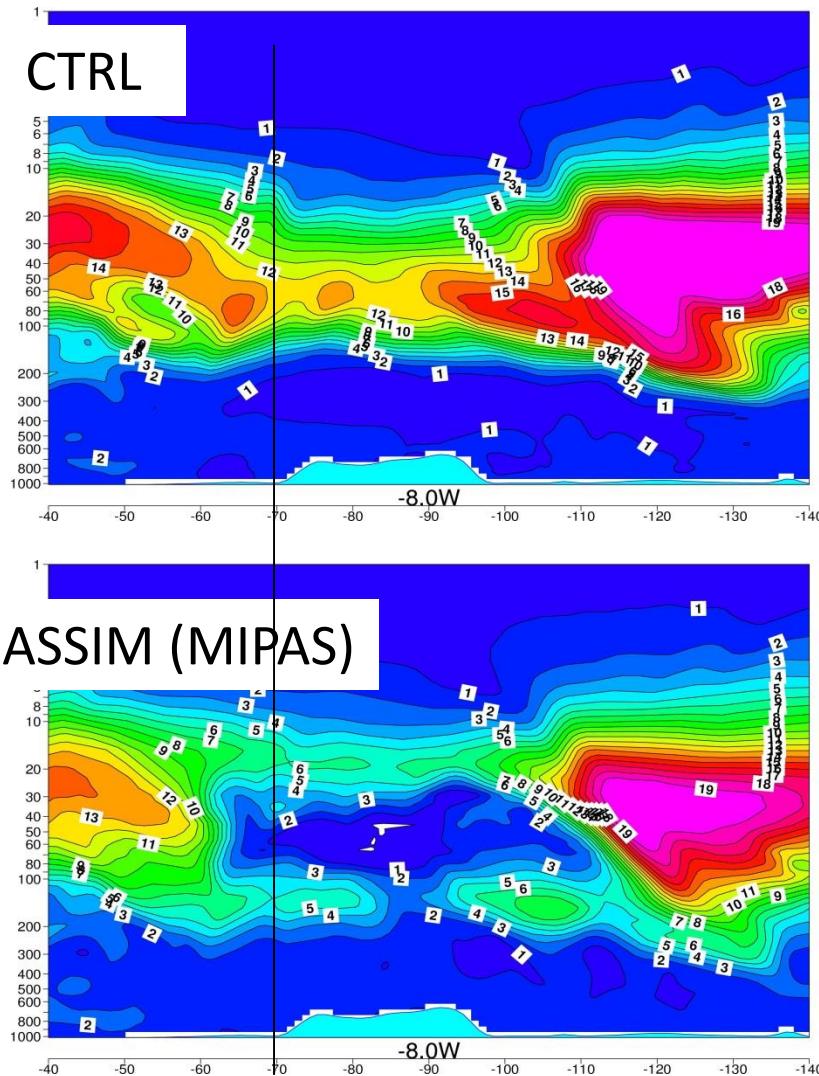
Standard deviation from the background matrix at the observation location

Vertical profile of the increment at the observation location

Background matrix has a significant impact on the distribution of information

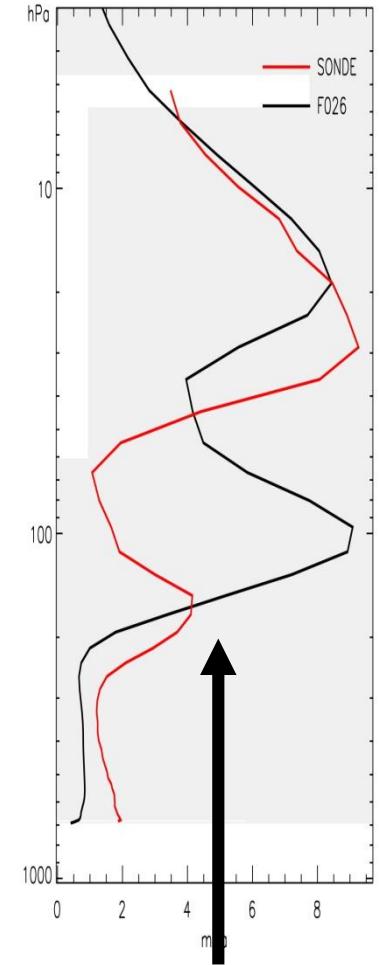


# Benefit of profile information – B matrix is not enough!

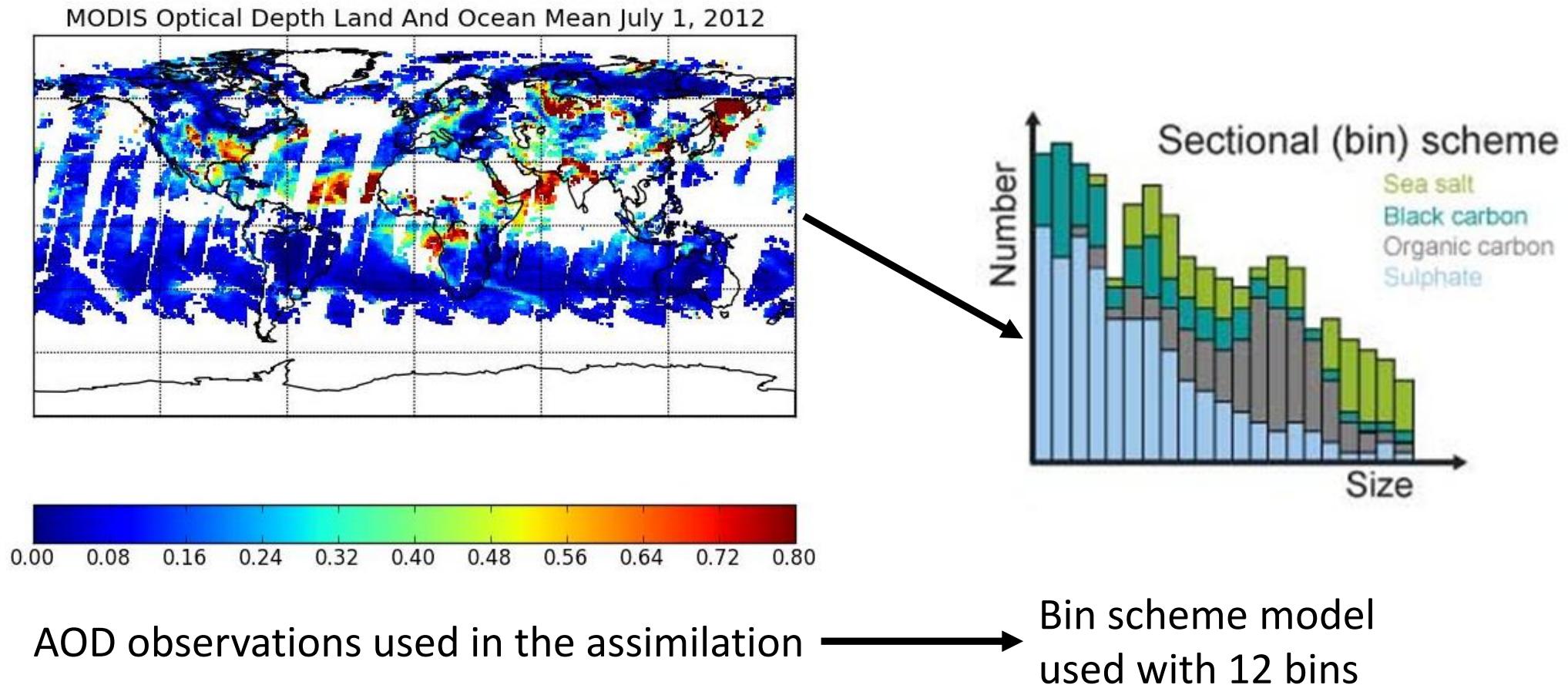


Oct 2004

Average of all 10 profiles of F026 GO3 (mPa) over South\_Pole in Oct 2004



## Added difficulty with AOD observations



How to transfer the information from the total aerosol to the 12 difference bins? B-matrix is not relevant in this case...

# Added difficulty with AOD observations

## Nonlinear run:

- All bins/species are initialized from a previous forecast; the total aerosol mixing ratio is initialized from sum of all bins/species.
- All aerosol variables go through advection, vertical diffusion and convection.
- Individual bins/species mixing ratio are used to compute optical depth according to the bin/species-specific optical properties.

## Tangent linear/adjoint runs:

1. Perturbations of optical depth are started from zero perturbations on individual bin/species mixing ratios on the first call of the tangent linear.
2. These perturbations are then passed to the adjoint routine to compute the gradient wrt individual bin/species mixing ratios. The gradient in the total aerosol mixing is then obtained from

$$r_t^* = \sum_i f_i r_i^*$$

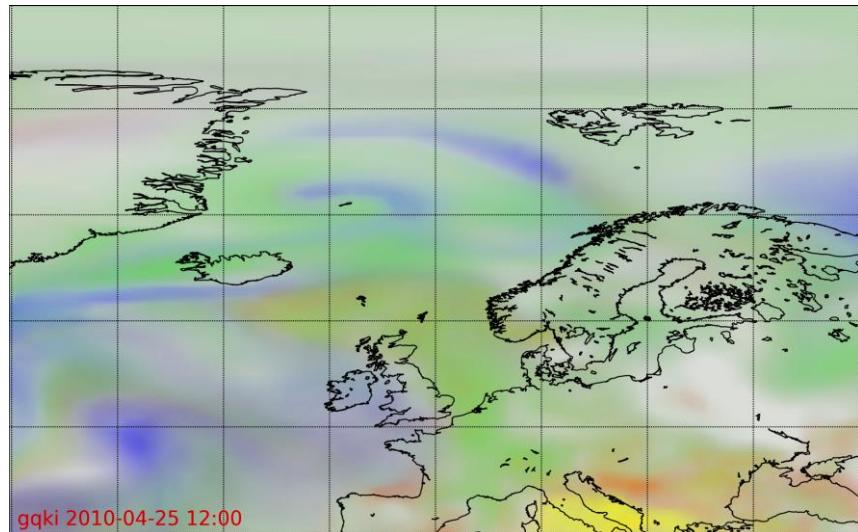
- where  $f_i$  represents the fractional contribution of each bin/species to the total mixing ratio.
3. The gradient wrt total aerosol mixing ratio is then used in the minimization and the resulting increment in  $r_t$  is used in the tangent linear run to compute updated perturbations on the individual bin/species mixing ratios as follows:

$$r_i' = f_i r_t'$$

This last step is not fully justifiable unless

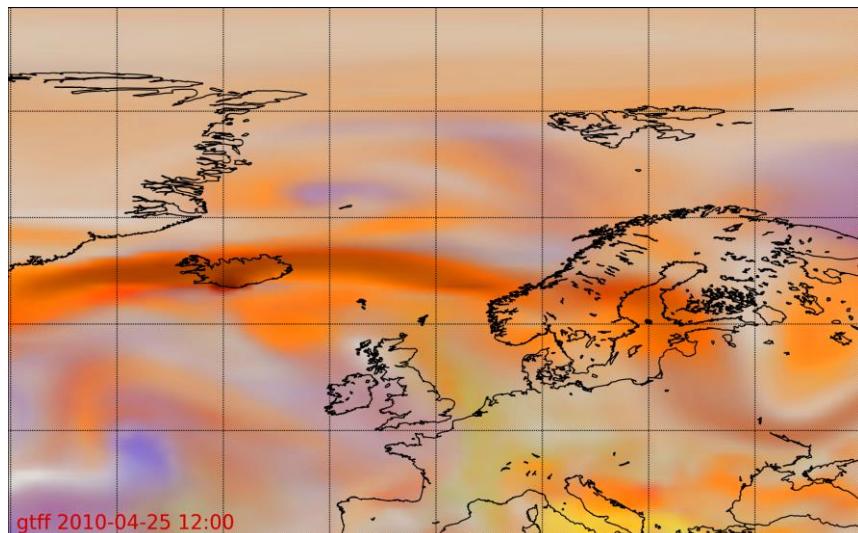
$$r_t = \sum_i r_i$$

## Added difficulty with AOD observations



Assimilating Aerosol Optical Depth (AOD) observations without having all required aerosol species realistically in place, results in wrong species assignment in analysis (plume of organic matter aerosol above).

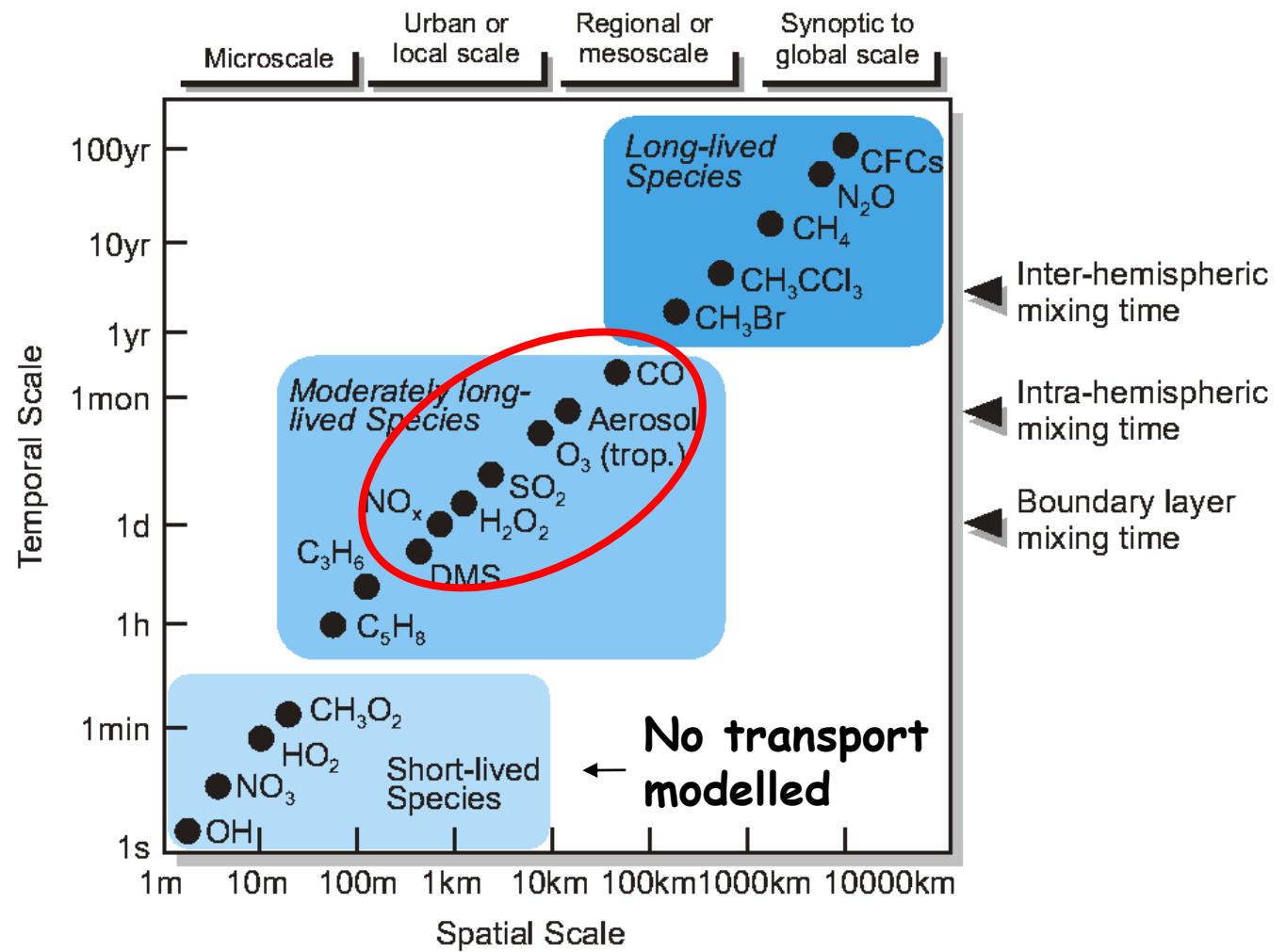
Improving the model with estimated emissions of volcanic ash and sulphates (below) allows much better use of observations.



**Sea-salt**  
**Dust**  
**Organic matter**  
**Background sulphate**  
**Volcanic ash**  
**Volcanic sulphate**

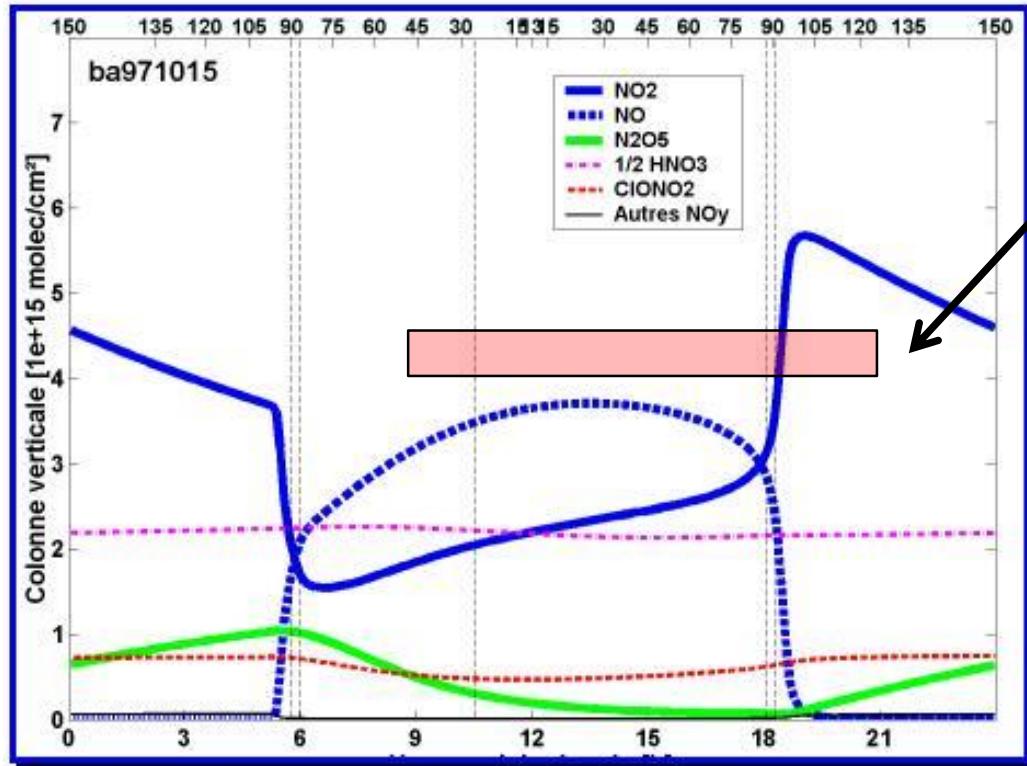
Volcanic eruptions Eyjafjallajökull

### 3. Lifetime of species



After Seinfeld and Pandis [1998]

## $\text{NO}_2$ data assimilation



12-hour 4D-Var window

Rapid chemical conversion within the 12-hour 4D-Var window means we cannot link an  $\text{NO}_2$  observation at the end of the window correctly to the initial state without a full chemical adjoint.

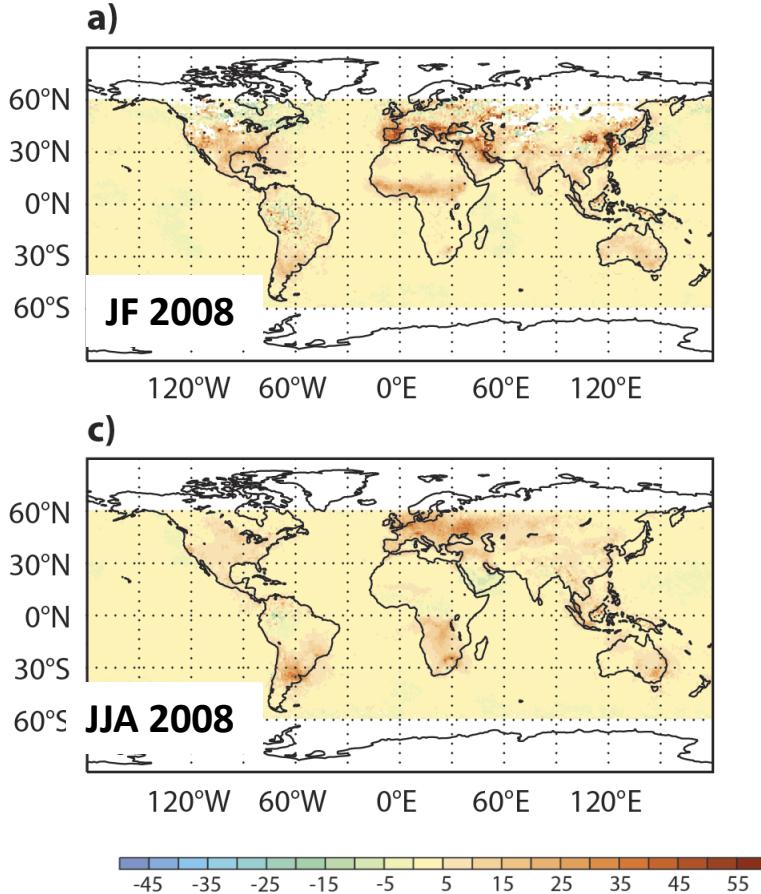


Partial solution through simple approximation of main chemical reaction

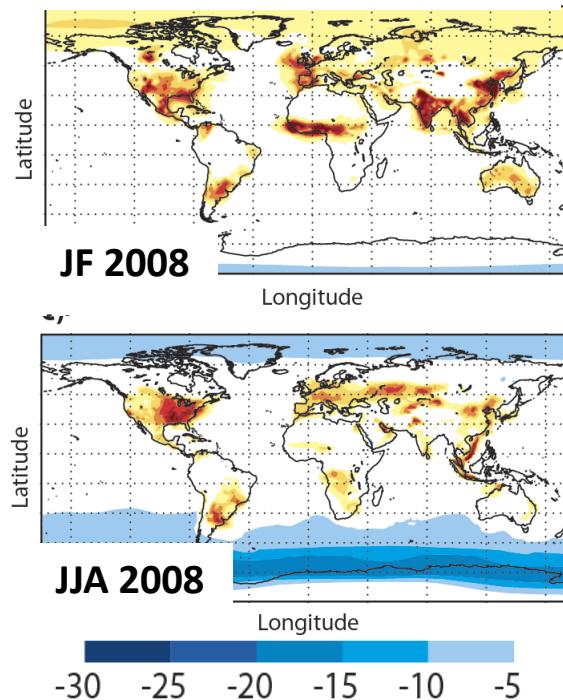
$$\frac{[\text{NO}_2]}{\text{NO}_x} \approx \frac{k[\text{O}_{3\text{eff}}]}{J\text{NO}_2 + k[\text{O}_{3\text{eff}}]}$$

# Short lived memory of NO<sub>2</sub> assimilation

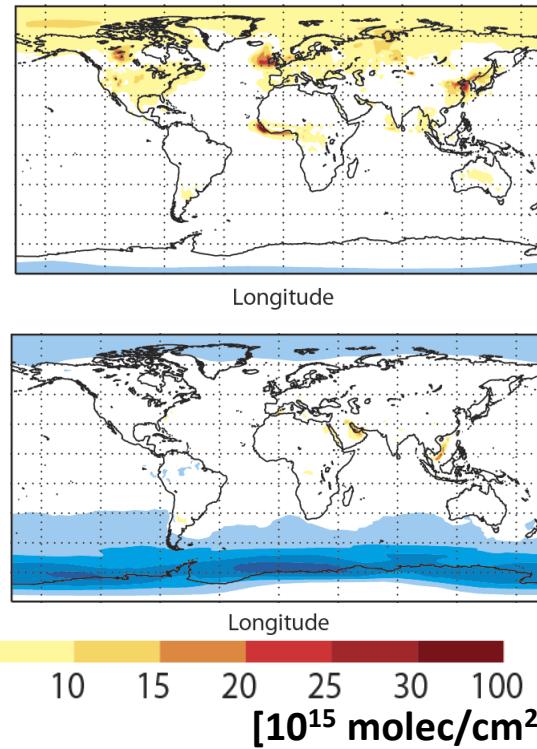
OMI NO<sub>2</sub> analysis increment [%]



Differences between  
Analysis and CTRL



12h fc from ASSIM and CTRL



- Large positive increments from OMI NO<sub>2</sub> assim
- Large differences between analyses of ASSIM and CTRL
- Impact is lost during subsequent 12h forecast
- It might be more beneficial to adjust emissions (instead of IC)

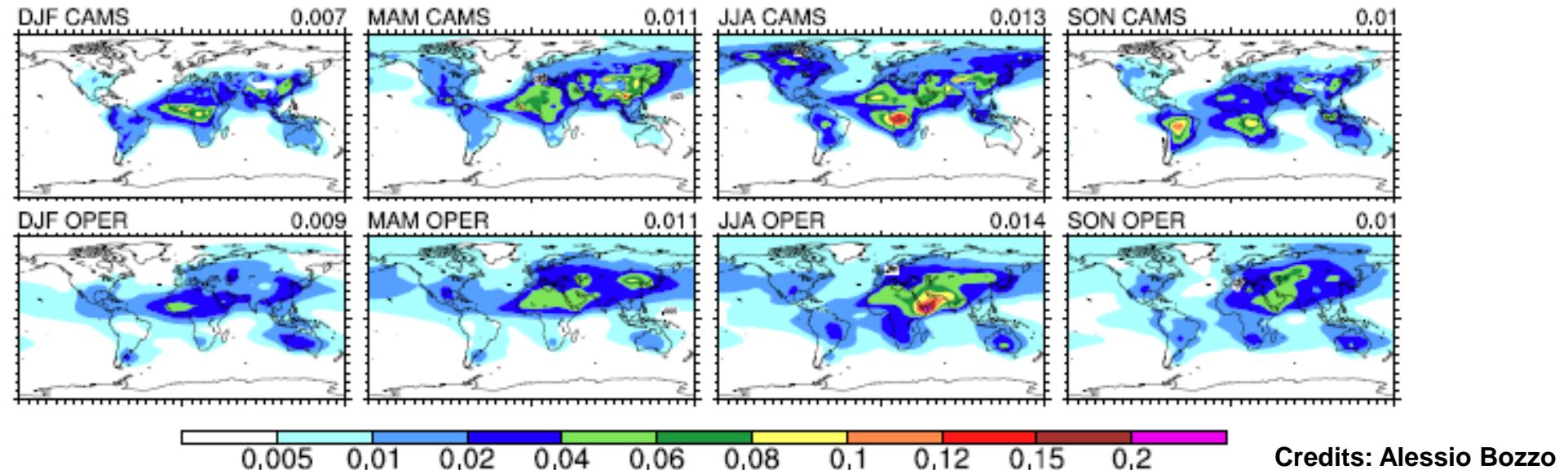
# Potential Benefit for NWP

## Potential benefit for NWP

- Interactive aerosols: Feedback on dynamics via radiation scheme: First Tegen AER climatology used in radiation scheme, CAMS interim climatology from CY43R3 onwards
- Use of O<sub>3</sub> (& other fields) in the radiation scheme: MACC climatologies used
- RTTOV observation operator: Use of O<sub>3</sub>, CO<sub>2</sub> analysis fields to improve the use of radiances sensitive to O<sub>3</sub>, CO<sub>2</sub>: model O<sub>3</sub> is used, but climatologies used for other tracers (e.g. fixed CO<sub>2</sub> value)
- Dynamical coupling with wind/T through TL and AD: turned off
- Multivariate JB: Correlations between tracers and dynamical variables, e.g. O<sub>3</sub> and vorticity; correlations between chemical species: univariate

# Benefit of AC for NWP: Updated climatology in the radiation scheme

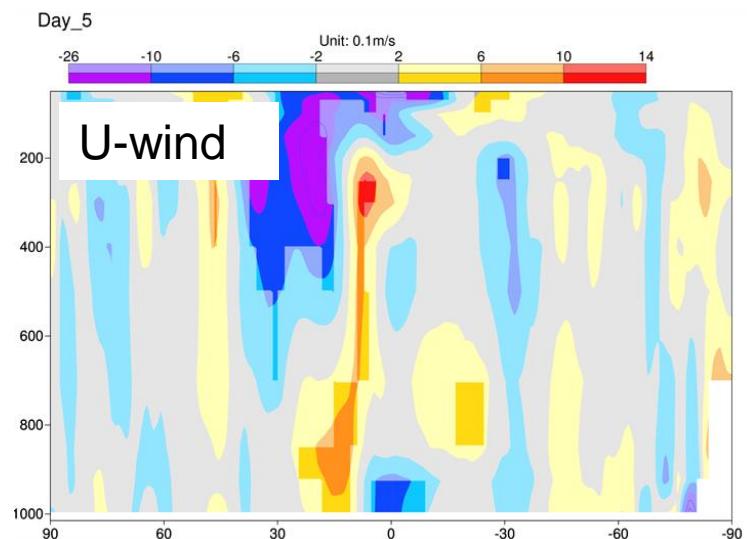
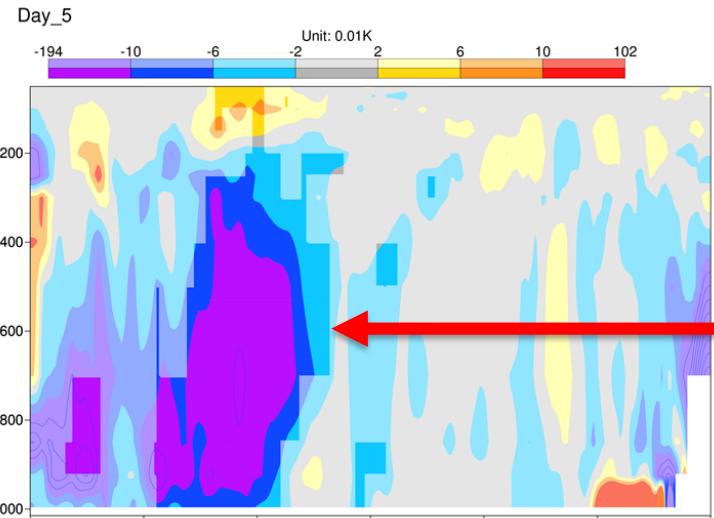
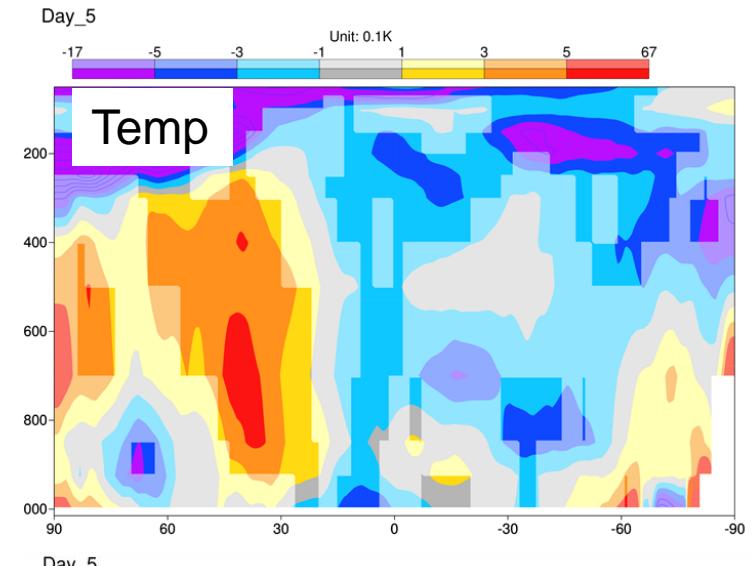
Climatological AOD 550nm distribution CAMS vs Tegen et al 1997



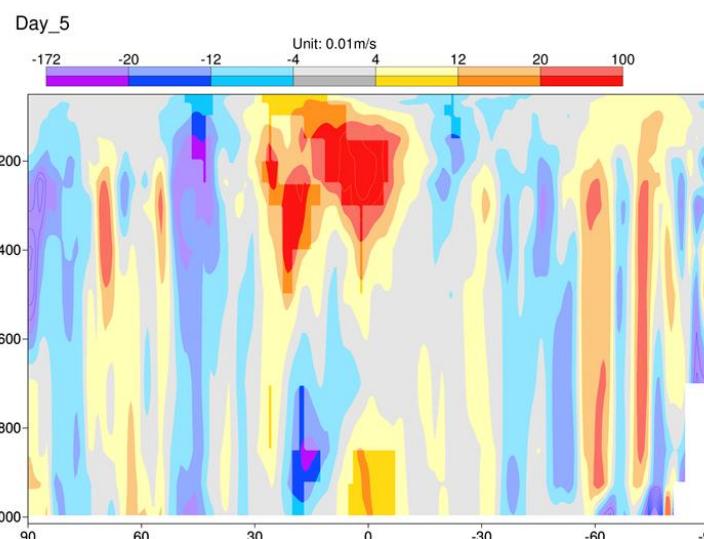
Credits: Alessio Bozzo

- CAMS interim reanalysis (2003-2018): sources of biomass burning from GFAS, sulphate aerosol precursor from EDGAR 4.1, prognostic for sea salt and dust, revised dust model
- Optical properties recomputed for RRTM spectral bands and for each aerosol type/size bin. Mass mixing ratio as input to radiation
- Vertical distribution following an exponential decay with scale height derived from the CAMS model for each aerosol type. Monthly varying for dust.

# Improvements to NWP forecast errors



June-July  
Model FC error d+5

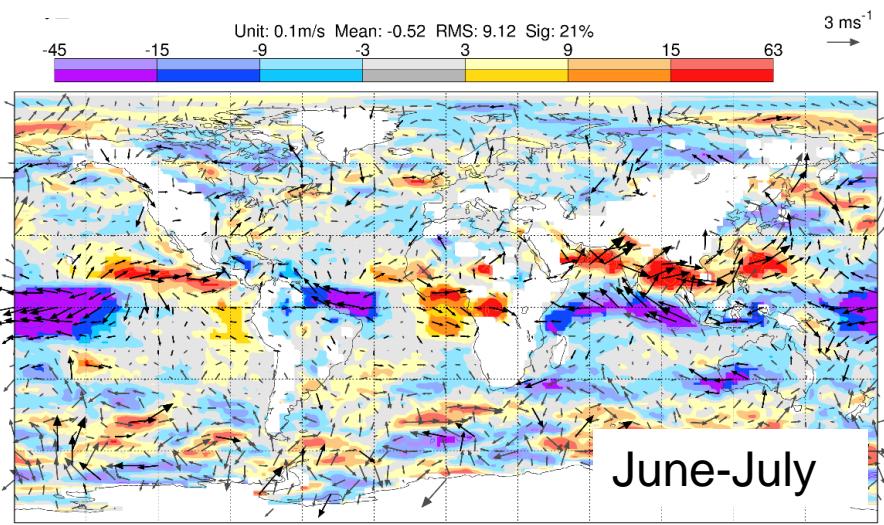


June-July  
Change in FC error d+5

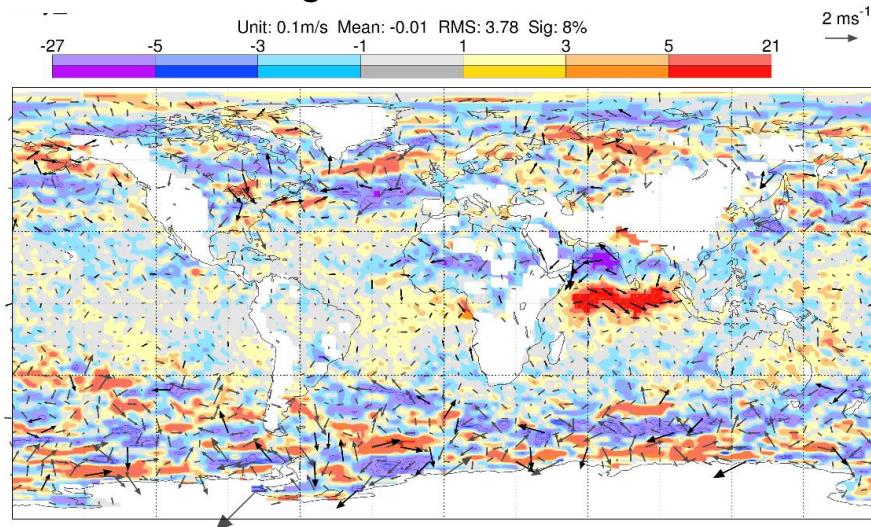
- Change in mass distribution and optical properties -> reduction in SW absorption -> reduction in temperature (positive)
- This is of the order of 0.1K for a bias of the order of 0.3K – it explains at least ~30% of the temperature error.
- Similar for winds at upper levels

# Improvements to NWP forecast errors

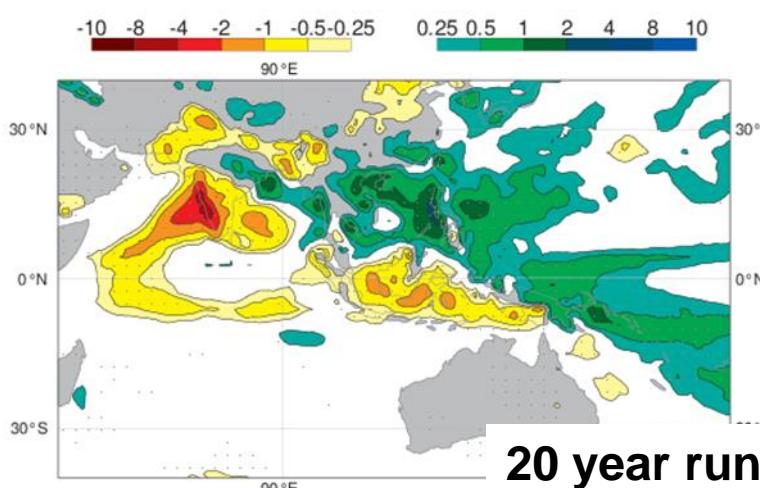
U 925 hPa – model bias at D+5



U 925 hPa – change in model bias

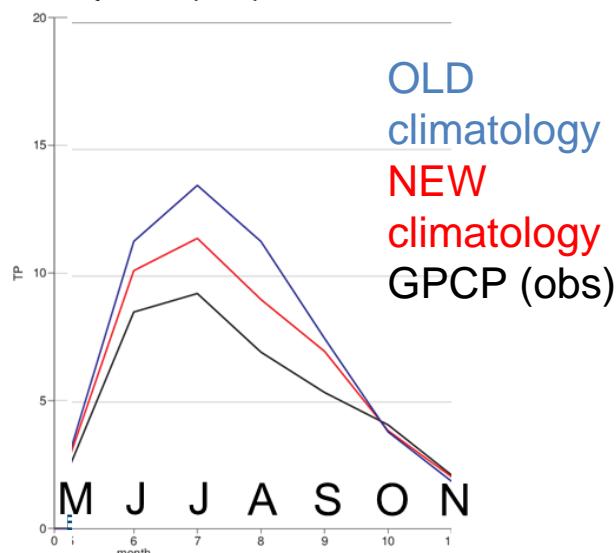


Difference TP (mm/day) gbp0 - gbr 1981 - 2010 season JJA  
MAE:0.283, MeanBias:0.0138, Dotted: 5 % significance



Too strong monsoon circulation in Indian Ocean in the model leads to too high precipitation over west India.

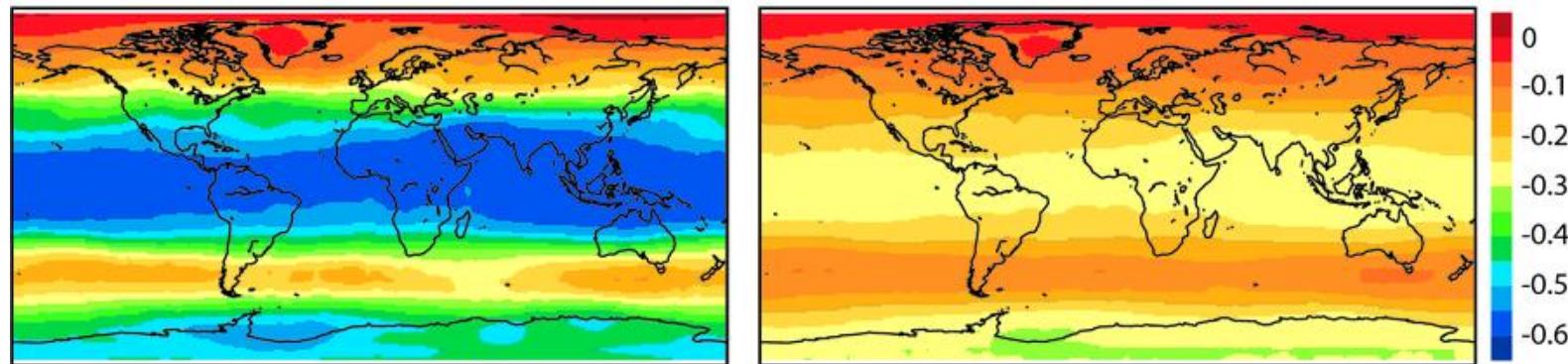
Monthly mean precipitation Western India



Revised aerosols affect the circulation and reduce the bias both in the wind circulation and in the precipitation amounts in Summer

# Benefit of AC for NWP: Variable CO<sub>2</sub> in radiance assimilation

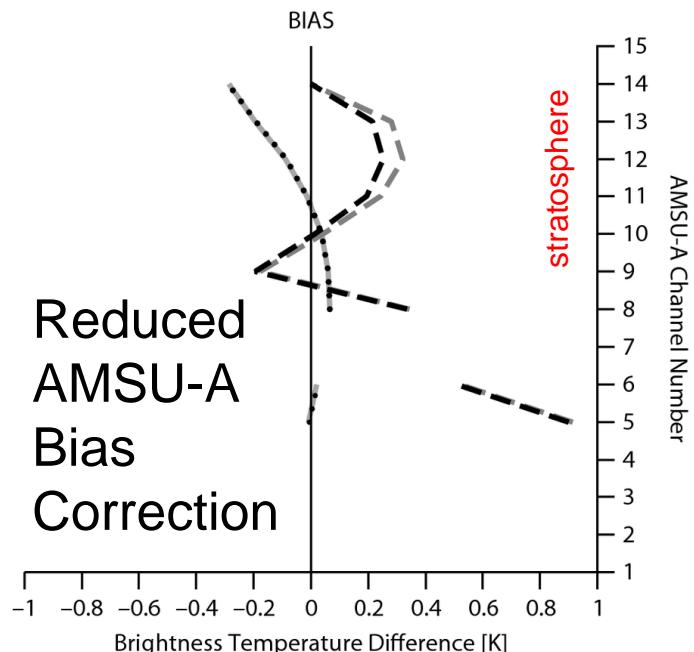
## Reduced AIRS and IASI Bias Correction



Mean bias correction (K) for August 2009 for AIRS channel 175  
(699.7 cm<sup>-1</sup>; maximum temperature sensitivity at ~ 200 hPa)

Engelen and Bauer, QJRMS, 2011

- Using modelled CO<sub>2</sub> in AIRS/IASI radiance assimilation leads to significant reduction in needed bias correction.
- Small positive effect on T analysis and neutral scores/ small positive impact at 200 hPa T in Tropics
- Stratospheric T in variable CO<sub>2</sub> exp more consistent with AMSU-A
- It would be beneficial to replace the fixed value by more realistic values



## Benefit of AC for NWP: Wind information from tracers

- Prospect to extract wind information from long lived tracers in stratosphere and upper troposphere, e.g. O<sub>3</sub>, H<sub>2</sub>O, N<sub>2</sub>O.
- Similar to cloud track winds but data coverage worse.
- Potential to extract wind info indirectly through TL and AD of tracer advection
- Potential was demonstrated in early studies for H<sub>2</sub>O (Thepaut 1992) and O<sub>3</sub> (Daley 1995; Riishojgaard 1996; Holm 1999; Peuch et al. 2000)
- Could compliment existing wind observations and help in areas where there is a lack of adequate global wind profile data

# Benefit of AC for NWP: Example of link between wind and tracer

Model equations

$$\frac{\partial u}{\partial t} + u \frac{\partial u}{\partial x} = \nu \frac{\partial^2 u}{\partial x^2}$$

$$\frac{\partial q}{\partial t} + u \frac{\partial q}{\partial x} = 0$$

$u = u(x,t)$  = wind over periodic domain  $[0,L]$

$q = q(x,t)$  = passive tracer

$\nu$  = diffusion coef.

$\delta u, \delta q$  = perturbations

$\delta' u, \delta' q$  = adjoint variables

Tangent linear equations:

$$\frac{\partial \delta u}{\partial t} + u \frac{\partial \delta u}{\partial x} + \delta u \frac{\partial u}{\partial x} = \nu \frac{\partial^2 \delta u}{\partial x^2}$$

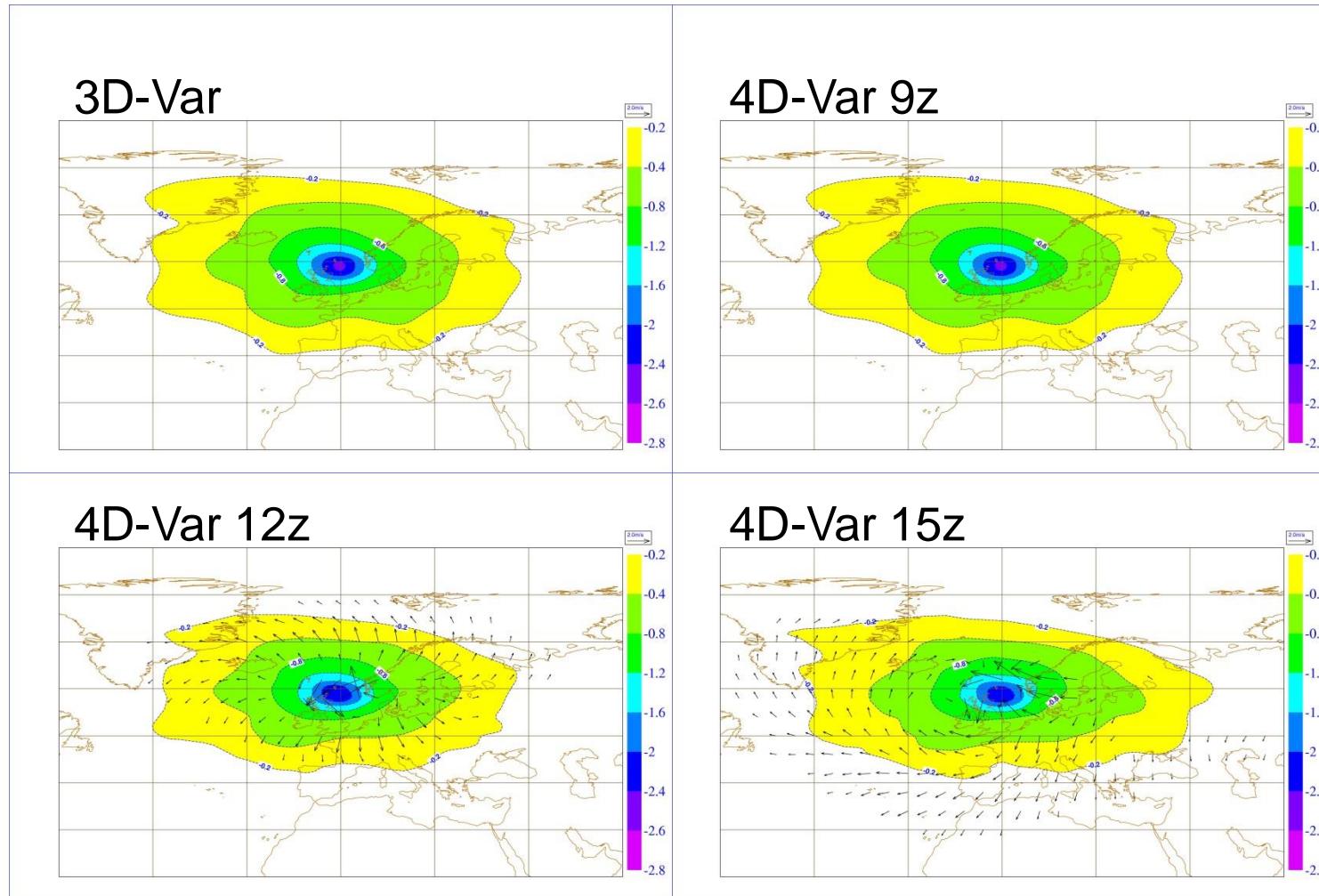
$$\frac{\partial \delta q}{\partial t} + u \frac{\partial \delta q}{\partial x} + \delta u \frac{\partial q}{\partial x} = 0$$

Adjoint equations:

$$-\frac{\partial \delta' u}{\partial t} - u \frac{\partial \delta' u}{\partial x} + \frac{\partial u}{\partial x} \delta' u - \nu \frac{\partial^2 \delta' u}{\partial x^2} + \delta' q \frac{\partial q}{\partial x} = 0$$

$$-\frac{\partial \delta' q}{\partial t} - \frac{\partial(u \delta' q)}{\partial x} = 0$$

# Single observation experiments - Ozone and wind increments



Level 20,  
≈ 30 hPa

Observation at T0: 4D-Var = 3D-Var

Observation at T3: wind increments

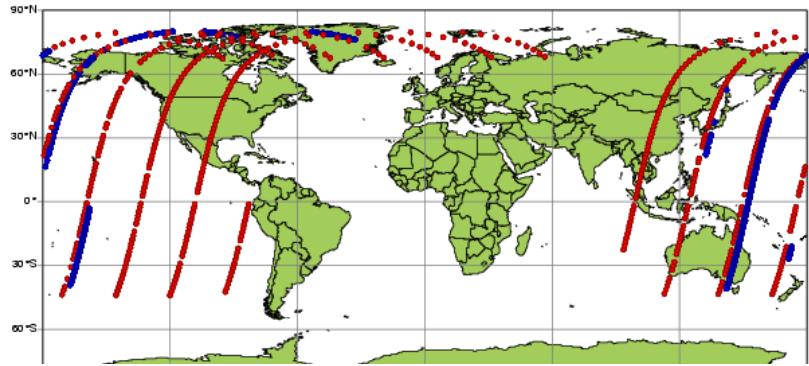
Observation at T6: wind increments

6h assimilation window

## Benefit of AC for NWP: Requirements to extract wind info from tracers

- Complete data coverage (3D), frequent observations.
- Accurate observations
- High quality background field
- No bias between observations and background
- Depends on accuracy of TL model compared to full model (better for passive tracers/ long chemical lifetime) => E.g. extracting wind information from O<sub>3</sub> is more difficult in the tropics and summer hemisphere where photochemical lifetime is shorter
- Studies have looked at this in idealized experiments (e.g. Daley 1995; Riishojgaard 1996; Peuch et al. 2000; Allen et al. 2013, 2014) focussing on long lived tracers O<sub>3</sub>, H<sub>2</sub>O, N<sub>2</sub>O and found positive impact for perfect observations.
- Few studies used real data (e.g. MLS O<sub>3</sub>, Semane et al. 2009) and positive results are less clear

# Benefit of AC for NWP: Example from ERA-Interim



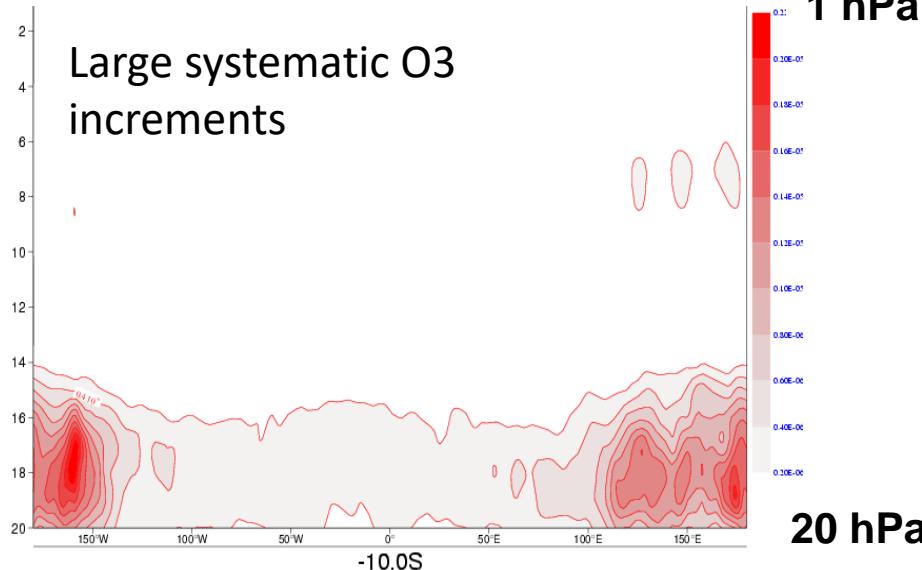
GOME 15-layer profiles (~15,000 per day)  
SBUV 6-layer profiles (~1,000 per day)

The stratosphere is not well constrained by observations:

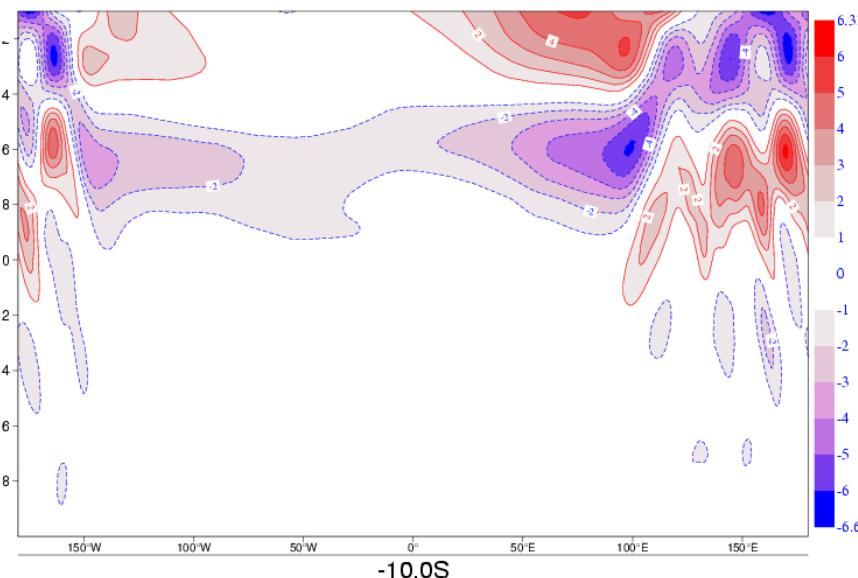
- Ozone profile data generate large temperature increments
- 4D-Var adjusts the flow where it is least constrained, to improve the fit to observations

=> IFS O3 analysis is completely uncoupled now

Ozone increments at 10S



Associated Temp increments



# Summary

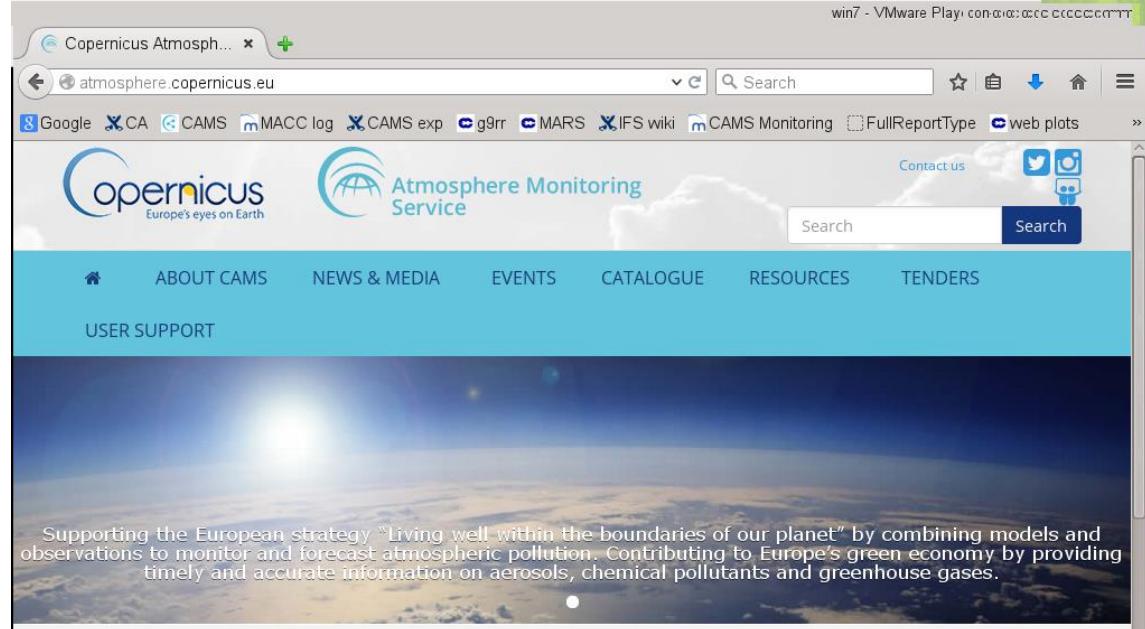
## What we have seen today...

- Basic Data Assimilation theory is the same
- Particular challenges related to DA for atmospheric composition
  - Boundary conditions (emissions) as well as initial conditions
  - Mismatches between modelled and observed variables
  - Fast reactions and short life time of some species
- Atmospheric composition has the potential to improve various aspects of NWP
- CAMS system produces useful Atmospheric Composition forecasts and analyses, freely available from [atmosphere.copernicus.eu](http://atmosphere.copernicus.eu)



**More information about the environmental monitoring activities at ECMWF and how to access the data can be found on:**

[atmosphere.copernicus.eu](http://atmosphere.copernicus.eu)



The screenshot shows the homepage of the Copernicus Atmosphere Monitoring Service. The header includes the Copernicus logo and the tagline "Europe's eyes on Earth". The main navigation menu has links for Home, About CAMS, News & Media, Events, Catalogue, Resources, and Tenders. Below the menu is a "USER SUPPORT" section. A large banner image shows a view from an aircraft window over clouds and the sun. Text below the banner reads: "Supporting the European strategy "Living well within the boundaries of our planet" by combining models and observations to monitor and forecast atmospheric pollution. Contributing to Europe's green economy by providing timely and accurate information on aerosols, chemical pollutants and greenhouse gases." The page is divided into three main sections: IN FOCUS, CATALOGUE, and NEWS. The IN FOCUS section features a photo of satellite equipment and a headline about an EEA workshop. The CATALOGUE section shows a map with a green "ENTER" button. The NEWS section lists recent articles: "Final Report MACC-III Monitoring Atmospheric Composition and Climate 3" (24 Feb 2016), "Sentinel-3A is safely in orbit" (17 Feb 2016), and "Launch of Sentinel-3 for Copernicus" (12 Feb 2016). An "ARCHIVE" button is located in the bottom right corner of the news section.

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